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COUNCIL REGULATION (EC) No 338/97
of 9 December 1996
on the protection of species of wild fauna and flora by regulating trade therein
(OJ L 61, 3.3.1997, p. 1)

Amended by:

		Official Journal		
		No	page	date
► <u>M1</u>	Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
► <u>M2</u>	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
► <u>M3</u>	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <u>M4</u>	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
► <u>M5</u>	Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
► <u>M6</u>	Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
► <u>M7</u>	Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
► <u>M8</u>	Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
► <u>M9</u>	Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003
► <u>M10</u>	Commission Regulation (EC) No 834/2004 of 28 April 2004	L 127	40	29.4.2004
► <u>M11</u>	Commission Regulation (EC) No 1332/2005 of 9 August 2005	L 215	1	19.8.2005
► <u>M12</u>	Commission Regulation (EC) No 318/2008 of 31 March 2008	L 95	3	8.4.2008
► <u>M13</u>	Commission Regulation (EC) No 407/2009 of 14 May 2009	L 123	3	19.5.2009
► <u>M14</u>	Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009	L 126	5	21.5.2009
► <u>M15</u>	Commission Regulation (EU) No 709/2010 of 22 July 2010	L 212	1	12.8.2010
► <u>M16</u>	Commission Regulation (EU) No 101/2012 of 6 February 2012	L 39	133	11.2.2012
► <u>M17</u>	Commission Regulation (EU) No 1158/2012 of 27 November 2012	L 339	1	12.12.2012
► <u>M18</u>	Commission Regulation (EU) No 750/2013 of 29 July 2013	L 212	1	7.8.2013
► <u>M19</u>	Commission Regulation (EU) No 1320/2014 of 1 December 2014	L 361	1	17.12.2014
► <u>M20</u>	Commission Regulation (EU) 2016/2029 of 10 November 2016	L 316	1	23.11.2016
► <u>M21</u>	Commission Regulation (EU) 2017/128 of 20 January 2017	L 21	1	26.1.2017
► <u>M22</u>	Commission Regulation (EU) 2017/160 of 20 January 2017	L 27	1	1.2.2017
► <u>M23</u>	Regulation (EU) 2019/1010 of the European Parliament and of the Council of 5 June 2019	L 170	115	25.6.2019

► <u>M24</u>	Commission Regulation (EU) 2019/2117 of 29 November 2019	L 320	13	11.12.2019
► <u>M25</u>	Commission Regulation (EU) 2021/2280 of 16 December 2021	L 473	1	30.12.2021

Corrected by:

- **C1** Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)
- **C2** Corrigendum, OJ L 139, 5.6.2009, p. 35 (407/2009)
- **C3** Corrigendum, OJ L 23, 28.1.2017, p. 123 (2017/128)
- **C4** Corrigendum, OJ L 330, 20.12.2019, p. 104 (2019/2117)



COUNCIL REGULATION (EC) No 338/97

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) ‘committee’ shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) ‘convention’ shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) ‘country of origin’ shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) ‘import notification’ shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) ‘introduction from the sea’ shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) ‘issuance’ shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) ‘management authority’ shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) ‘member state of destination’ shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) ‘offering for sale’ shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;

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- (j) ‘personal or household effects’ shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) ‘place of destination’ shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) ‘population’ shall mean a biologically or geographically distinct total number of individuals;
- (m) ‘primarily commercial purposes’ shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) ‘re-export from the Community’ shall mean export from the Community of any specimen that has previously been introduced;
- (o) ‘reintroduction into the Community’ shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) ‘sale’ shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) ‘scientific authority’ shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) ‘scientific review group’ shall mean the consultative body established under Article 17;
- (s) ‘species’ shall mean a species, subspecies or population thereof;
- (t) ‘specimen’ shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose ‘parents’ is of a species so listed. In cases where the ‘parents’ of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the ‘parents’ is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) ‘trade’ shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;

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- (v) ‘transit’ shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) ‘worked specimens that were acquired more than 50 years previously’ shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) ‘checks at the time of introduction, export, re-export and transit’ shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

*Article 3***Scope**

1. Annex A shall contain:
 - (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
 - (b) any species:
 - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
 - or
 - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
2. Annex B shall contain:
 - (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
 - (b) the species listed in Appendix I to the Convention for which a reservation has been entered;

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(c) any other species not listed in Appendices I or II to the Convention:

(i) which is subject to levels of international trade that might not be compatible:

— with its survival or with the survival of populations in certain countries, or

— with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs:

or

(ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;

(d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.

3. Annex C shall contain:

(a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;

(b) the species listed in Appendix II to the Convention for which a reservation has been entered.

4. Annex D shall contain:

(a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;

(b) the species listed in Appendix III to the Convention for which a reservation has been entered.

5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

Article 4

Introduction into the Community

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

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The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

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The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

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6. In consultation with the countries of origin concerned, in accordance with the regulatory procedure referred to in Article 18(2) and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

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- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.

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7. Where special cases of transshipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted by the Commission in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12(1).

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B*Article 5***Export or re-export from the Community**

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;

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(b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;

(c) the management authority is satisfied that:

(i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

(ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or

— in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

(d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

(a) were introduced into the Community in accordance with the provisions of this Regulation;

(b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or

(c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or

(d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

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An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

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5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

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6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

- 7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species

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- (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the regulatory procedure referred to in Article 18(2).

▼B*Article 6***Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10**

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.
2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.
3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.
4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.

(b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

*Article 7***Derogations**

1. *Specimens born and bred in captivity or artificially propagated*
 - (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
 - (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
 - (i) the use of phytosanitary certificates;
 - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
 - (iii) trade in hybrids.

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- (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B**2. Transit**

- (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
- (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.

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- (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

3. Personal and household effects

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

4. Scientific institutions

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the regulatory procedure referred to in Article 18(2) or a similar label issued or approved by a management authority of a third country.

▼B*Article 8***Provisions relating to the control of commercial activities**

- 1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

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2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes ⁽¹⁾ where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

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4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with point (ii) of Article 3(1)(b) may be defined by the Commission. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

⁽¹⁾ OJ No L 358, 18.12.1986, p. 1.

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5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

*Article 9***Movement of live specimens**

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorization shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

▼M14

6. The Commission may establish restrictions on the holding or movement of live specimens of such species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4(6). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B*Article 10***Certificates to be issued**

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

*Article 11***Validity of and special conditions for permits and certificates**

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.

2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.

(b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.

3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.

4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.

▼M14

5. The Commission shall establish time limits for the issuance of permits and certificates. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B*Article 12***Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.

2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.

3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.

▼M14

4. In exceptional cases and in accordance with the criteria defined by the Commission, a management authority may authorise the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

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5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

*Article 13***Management and scientific authorities and other competent authorities**

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission:
- (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.

2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.

▼B

3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month.
- (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
- (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

*Article 14***Monitoring of compliance and investigation of infringements**

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
- (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
- (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.
- (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
- (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

▼B*Article 15***Communication of information**

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.

3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.

4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. ►**M14** The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the regulatory procedure referred to in Article 18(2). ◀

▼M23

- (b) On the basis of the information submitted by the Member States referred to in point (a), the Commission services shall make publicly available, before 31 October each year a Union-wide overview on the introduction into, and the export and re-export from, the Union of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.
- (c) Without prejudice to Article 20 of this Regulation, the management authorities of the Member States shall, one year before each meeting of the Conference of the Parties to the Convention, communicate to the Commission all the information relating to the relevant preceding period required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The format for its presentation shall be specified by the Commission by means of implementing acts. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 18(2) of this Regulation.
- (d) On the basis of the information submitted by the Member States referred to in point (c), the Commission shall make publicly available a Union-wide overview on the implementation and enforcement of this Regulation.
- (e) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year for drawing up the annual illegal trade report referred to in CITES Resolution Conf. 11.17 (rev. CoP17).

▼M14

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the regulatory procedure referred to in Article 18(2).

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►C1 6. Without prejudice to Council Directive 90/313/EEC ◄ of 7 June 1990 on the freedom of access to information on the environment ⁽¹⁾, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

*Article 16***Sanctions**

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;

⁽¹⁾ OJ No L 158, 23.6.1990, p. 56.

▼B

- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

*Article 17***The Scientific Review Group**

- 1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
- 2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
- (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

▼M9*Article 18*

- 1. The Commission shall be assisted by a committee.
- 2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC ⁽¹⁾ shall apply, having regard to the provisions of Article 8 thereof.

⁽¹⁾ Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

▼M9

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

▼M14

3. Where reference is made to this paragraph, Article 5a(1) to (4) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

4. Where reference is made to this paragraph, Article 5a(1) to (4) and (5)(b) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

The time limits laid down in Article 5a(3)(c) and (4)(b) and (e) of Decision 1999/468/EC shall be set at one month, one month and two months respectively.

Article 19

1. In accordance with the regulatory procedure referred to in Article 18(2), the Commission shall adopt the measures referred to in Article 4(6), Article 5(7)(b), Article 7(4), Article 15(4)(a) and (c), Article 15(5) and Article 21(3).

The Commission shall determine the design of the documents referred to in Articles 4, 5, 7(4) and 10 in accordance with the regulatory procedure referred to in Article 18(2).

2. The Commission shall adopt the measures referred to in Article 4(7), Article 5(5), Article 7(1)(c), (2)(c) and (3), Article 8(4), Article 9(6), Article 11(5) and Article 12(4). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

3. The Commission shall lay down uniform conditions and criteria for:

- (a) the issue, validity and use of the documents referred to in Articles 4, 5, 7(4) and 10;
- (b) the use of phytosanitary certificates referred to in Article 7(1)(b)(i);
- (c) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions.

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼M14

4. The Commission shall adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

5. The Commission shall amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention. Those measures, designed to amend non-essential elements of this Regulation, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(4).

▼B*Article 20***Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 21

1. Regulation (EEC) No 3626/82 is hereby repealed.

2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora ⁽¹⁾.

▼M14

3. Two months before this Regulation is implemented the Commission, in accordance with the regulatory procedure referred to in Article 18(2) and in consultation with the Scientific Review Group:

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- (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
- (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

⁽¹⁾ OJ No L 344, 7.12.1983, p. 1.

▼B*Article 22*

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 116, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

▼ **M25***ANNEX***Notes on interpretation of Annexes A, B, C and D**

1. Species included in Annexes A, B, C and D are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Directive 2009/147/EC of the European Parliament and of the Council ⁽¹⁾ or Council Directive 92/43/EEC ⁽²⁾.
5. The following abbreviations are used for plant taxa below the level of species:
 - (a) 'ssp.' is used to denote subspecies;
 - (b) 'var(s).' is used to denote variety (varieties); and
 - (c) 'fa.' is used to denote forma.
6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices to the Convention in which the species concerned are listed as indicated in notes 7, 8 and 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. 'Cultivar' means, following the definition of the 8th edition of the *International Code of Nomenclature for Cultivated Plants*, an assemblage of plants that (a) has been selected for a particular character or combination of characters, (b) is distinct, uniform, and stable in these characters, and (c) when propagated by appropriate means, retains those characters. No new taxon of a cultivar can be regarded as such until its category name and circumscription has been formally published in the latest edition of the *International Code of Nomenclature for Cultivated Plants*.

⁽¹⁾ Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7).

⁽²⁾ Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

▼ M25

11. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.

12. When a species is included in Annex A, B or C, the whole animal or plant, whether alive or dead, and all parts and derivatives thereof are also included. Regarding animal species listed in Annex C and plant species listed in Annex B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t), the symbol ‘#’ followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of this Regulation as follows:

#1 Designates all parts and derivatives, except:

- (a) seeds, spores and pollen (including pollinia);
- (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
- (c) cut flowers of artificially propagated plants; and
- (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.

#2 Designates all parts and derivatives, except:

- (a) seeds and pollen; and
- (b) finished products packaged and ready for retail trade.

#3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.

#4 Designates all parts and derivatives, except:

- (a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Dypsis decaryi* exported from Madagascar;
- (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
- (c) cut flowers of artificially propagated plants;
- (d) fruits and parts and derivatives thereof of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae;
- (e) stems, flowers, and parts and derivatives thereof of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
- (f) finished products of *Aloe ferox* and *Euphorbia antisyphilitica* packaged and ready for retail trade.

#5 Designates logs, sawn wood and veneer sheets.

▼ **M25**

- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, wood-chips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- #9 Designates all parts and derivatives, except those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxx] [Namibia under agreement No. NA/xxxxx] [South Africa under agreement No. ZA/xxxxx]'.
- #10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #12 Designates logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #13 Designates the kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof, except finished products packaged and ready for retail trade.
- #14 Designates all parts and derivatives, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) fruits;
 - (d) leaves;
 - (e) exhausted agarwood powder, including compressed powder in all shapes; and
 - (f) finished products packaged and ready for retail trade, this exemption does not apply to wood chips, beads, prayer beads and carvings.
- #15 Designates all parts and derivatives, except:
 - (a) leaves, flowers, pollen, fruits, and seeds;
 - (b) finished products to a maximum weight of wood of the listed species of up to 10 kg per shipment;
 - (c) finished musical instruments, finished musical instrument parts and finished musical instrument accessories;
 - (d) parts and derivatives of *Dalbergia cochinchinensis*, which are covered by Annotation #4;
 - (e) parts and derivatives of *Dalbergia* spp. originating and exported from Mexico, which are covered by Annotation #6.
- #16 Designates seeds, fruits, and oils.

▼ M25

#17 Designates logs, sawn wood, veneer sheets, plywood and transformed wood.

#18 Excluding parts and derivatives, other than eggs.

13. The terms and expressions below, used in annotations in these Annexes, are defined as follows:

Extract

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semisolid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

Finished musical instruments

A musical instrument (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is ready to play or needs only the installation of parts to make it playable. This term includes antique instruments (as defined by the Harmonized System codes 97.05 and 97.06; Works of art, collectors' pieces and antiques).

Finished musical instrument accessories

A musical instrument accessory (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is separate from the musical instrument, and is specifically designed or shaped to be used explicitly in association with an instrument, and that requires no further modification to be used.

Finished musical instrument parts

A part (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) of a musical instrument that is ready to install and is specifically designed and shaped to be used explicitly in association with the instrument to make it playable.

Finished products packaged and ready for retail trade

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

Powder

A dry, solid substance in the form of fine or coarse particles

Shipment

Cargo transported under the terms of a single bill of lading or air waybill, irrespective of the quantity or number of containers or packages; or pieces worn, carried or included in personal baggage.

10 kg per shipment

For the term '10 kg per shipment', the 10 kg limit should be interpreted as referring to the weight of the individual portions of each item in the shipment made of wood of the species concerned. In other words, the 10 kg limit is to be assessed against the weight of the individual portions of wood of *Dalbergia/Guibourtia* species contained in each item of the shipment, rather than against the total weight of the shipment.

▼ **M25***Transformed wood*

Defined by Harmonized System code 44.09. Wood (including strips, friezes for parquet flooring, not assembled), continuously shaped (tongued, grooved, v-jointed, beaded or the like) along any edges, ends or faces, whether or not planed, sanded or end-jointed.

Woodchips

Wood that has been reduced to small pieces.

14. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with Article 4(1), this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to this Regulation.

15. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to this Regulation.

16. In respect of fauna species listed in Annex D, this Regulation shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

§1 Any whole, or substantially whole, skins, raw or tanned.

17. In respect of flora species listed in Annex D, this Regulation shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

§2 Dried and fresh plants, including, where appropriate; leaves, roots/ rootstock, stems, seeds/spores, bark and fruits.

§4 Designates all parts and derivatives, except:

(a) seeds and pollen;

(b) finished products packaged and ready for retail trade.

§5 Designates logs, sawn wood, veneer sheets, plywood and transformed wood. (*)

(*) Defined by Harmonized System code 44.09: Wood (including strips, friezes for parquet flooring, not assembled), continuously shaped (tongued, grooved, v-jointed, beaded or the like) along any edges, ends or faces, whether or not planed, sanded or end-jointed.

	Annex A	Annex B	Annex C	Common name
FAUNA				
CHORDATA (CHORDATES)				
MAMMALIA				Mammals
ARTIODACTYLA				
Antilocapridae	<i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Pronghorn Mexican pronghorn
Bovidae	<i>Addax nasomaculatus</i> (I) <i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to this Regulation) <i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to this Regulation) <i>Bos sauveli</i> (I)	<i>Ammotragus lervia</i> (II)	<i>Antilope cervicapra</i> (III Nepal / Pakistan) <i>Boselaphus tragocamelus</i> (III Pakistan)	Antelopes, cattle, duikers, gazelles, goats, sheep etc. Addax Barbary sheep Blackbuck Gaur Wild yak Kouprey Nilgai

▼ **M25**

	Annex A	Annex B	Annex C	Common name
			<i>Bubalus arnee</i> (III Nepal) (Excludes the domesticated form referenced as <i>Bubalus bubalis</i> , which is not subject to this Regulation)	Wild Asiatic buffalo
	<i>Bubalus depressicornis</i> (I)			Lowland anoa
	<i>Bubalus mindorensis</i> (I)			Tamarau
	<i>Bubalus quarlesi</i> (I)			Mountain anoa
		<i>Budorcas taxicolor</i> (II)		Takin
	<i>Capra falconeri</i> (I)			Markhor
		<i>Capra caucasica</i> (II)		Caucasian Tur
			<i>Capra hircus aegagrus</i> (III Pakistan) (Specimens of the domesticated form are not subject to this Regulation)	Wild goat
			<i>Capra sibirica</i> (III Pakistan)	Siberian Ibex
	<i>Capricornis milneedwardsii</i> (I)			Chinese serow
	<i>Capricornis rubidus</i> (I)			Red serow
	<i>Capricornis sumatraensis</i> (I)			Sumatran serow
	<i>Capricornis thar</i> (I)			Himalayan serow
		<i>Cephalophus brookei</i> (II)		Brooke's duiker
		<i>Cephalophus dorsalis</i> (II)		Bay duiker
	<i>Cephalophus jentinki</i> (I)			Jentink's duiker
		<i>Cephalophus ogilbyi</i> (II)		Ogilby's duiker
		<i>Cephalophus silvicultor</i> (II)		Yellow-backed duiker

	Annex A	Annex B	Annex C	Common name
		<i>Cephalophus zebra</i> (II)		Zebra duiker
		<i>Damaliscus pygargus pygargus</i> (II)		Bontebok
	<i>Gazella cuvieri</i> (I)		<i>Gazella bennettii</i> (III Pakistan)	Chinkara
				Cuvier’s gazelle
	<i>Gazella leptoceros</i> (I)		<i>Gazella dorcas</i> (III Algeria / Tunisia)	Dorcas gazelle
	<i>Hippotragus niger variani</i> (I)			Slender-horned gazelle
		<i>Kobus leche</i> (II)		Giant sable antelope
	<i>Naemorhedus baileyi</i> (I)			Lechwe
	<i>Naemorhedus caudatus</i> (I)			Red goral
	<i>Naemorhedus goral</i> (I)			Long-tailed goral
	<i>Naemorhedus griseus</i> (I)			Himalayan goral
	<i>Nanger dama</i> (I)			Chinese goral
	<i>Oryx dammah</i> (I)			Dama gazelle
	<i>Oryx leucoryx</i> (I)			Scimitar-horned oryx
		<i>Ovis ammon</i> (II)		Arabian oryx
		<i>Ovis arabica</i> (II)		Altai argali
		<i>Ovis bochariensis</i> (II)		Arabian wild sheep
				Bukhara urial

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	Annex A	Annex B	Annex C	Common name
		<i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Mexican bighorn sheep
		<i>Ovis collium</i> (II)		Kazakhstan argali
		<i>Ovis cycloceros</i> (II)		Afghan urial
		<i>Ovis darwini</i> (II)		Gobi argali
	<i>Ovis gmelini</i> (I) (population of Cyprus)			Anatolian sheep
	<i>Ovis hodgsoni</i> (I)			Tibetan argali
		<i>Ovis jubata</i> (II)		Shansi argali
		<i>Ovis karelini</i> (II)		Tianshan argali
	<i>Ovis nigrimontana</i> (I)			Karatau argali
		<i>Ovis polii</i> (II)		Marco Polo argali
		<i>Ovis punjabiensis</i> (II)		Punjab urial
		<i>Ovis severtzovi</i> (II)		Severtzov's agali
	<i>Ovis vignei</i> (I)			Ladakh urial
	<i>Pantholops hodgsonii</i> (I)			Chiru
		<i>Philantomba monticola</i> (II)		Blue duiker
			<i>Pseudois nayaur</i> (III Pakistan)	Bharal
	<i>Pseudoryx nghetinhensis</i> (I)			Saola

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	Annex A	Annex B	Annex C	Common name
	<i>Rupicapra pyrenaica ornata</i> (II)	<i>Saiga borealis</i> (II) (A zero export quota for wild specimens traded for commercial purposes) <i>Saiga tatarica</i> (II) (A zero export quota for wild specimens traded for commercial purposes)	 <i>Tetracerus quadricornis</i> (III Nepal)	Abruzzo chamois Mongolian saiga Steppe saiga Four-horned antelope
Camelidae	<i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina [the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [populations of the region of Tarapacá and of the region of Arica and Parinacota]; Ecuador [the whole population] and Peru [the whole population]; which are included in Annex B)	<i>Lama guanicoe</i> (II) <i>Vicugna vicugna</i> (II) (Only the populations of Argentina [the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [populations of the region of Tarapacá and of the region of Arica and Parinacota]; Ecuador [the whole population] and Peru [the whole population]; all other populations are included in Annex A) ⁽¹⁾		Camels, guanaco, vicuña Guanaco Vicuña
Cervidae	<i>Axis calamianensis</i> (I) <i>Axis kuhlii</i> (I)			Deer, huemuls, muntjacs, pudus Calamian deer Bawean deer

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	Annex A	Annex B	Annex C	Common name
			<i>Axis porcinus</i> (III Pakistan (Except for the subspecies included in Annex A))	Hog deer
	<i>Axis porcinus annamiticus</i> (I)			Indochina hog deer
	<i>Blastocerus dichotomus</i> (I)			Marsh deer
		<i>Cervus elaphus bactrianus</i> (II)		Bactrian deer
			<i>Cervus elaphus barbarus</i> (III Algeria / Tunisia)	Barbary deer
	<i>Cervus elaphus hanglu</i> (I)			Hangul
	<i>Dama dama mesopotamica</i> (I)			Persian fallow deer
	<i>Hippocamelus</i> spp. (I)			Huemuls
			<i>Mazama temama cerasina</i> (III Guatemala)	Central American red brocket
	<i>Muntiacus crinifrons</i> (I)			Black muntjac
	<i>Muntiacus vuquangensis</i> (I)			Giant muntjac
			<i>Odocoileus virginianus mayensis</i> (III Guatemala)	Guatemalan white-tailed deer
	<i>Ozotoceros bezoarticus</i> (I)			Pampas deer
		<i>Pudu mephistophiles</i> (II)		Northern pudu
	<i>Pudu puda</i> (I)			Southern pudu
	<i>Rucervus duvaucelii</i> (I)			Barasingha
	<i>Rucervus eldii</i> (I)			Eld's deer

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	Annex A	Annex B	Annex C	Common name
Giraffidae		<i>Giraffa camelopardalis</i> (II)		Giraffes Giraffe
Hippopotamidae		<i>Hexaprotodon liberiensis</i> (II) <i>Hippopotamus amphibius</i> (II)		Hippopotamuses Pygmy hippopotamus Common hippopotamus
Moschidae	<i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	<i>Moschus</i> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer Musk deer
Suidae	<i>Babyrousa babyrussa</i> (I) <i>Babyrousa bolabatuensis</i> (I) <i>Babyrousa celebensis</i> (I) <i>Babyrousa togeanensis</i> (I) <i>Sus salvanus</i> (I)			Babirusa, hogs, pigs Buru babirusa Bola Batu babirusa North Sulawesi babirusa Malenge babirusa Pygmy hog
Tayassuidae	<i>Catagonus wagneri</i> (I)	Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation)		Peccaries Peccaries Chacoan peccary

	Annex A	Annex B	Annex C	Common name
CARNIVORA				
Ailuridae	<i>Ailurus fulgens</i> (I)			Red panda
Canidae	<p><i>Canis lupus</i> (I/II) (All populations except those of Spain north of the Duero and Greece north of the 39th parallel which are listed in Annex B. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i>)</p> <p><i>Canis simensis</i></p>	<p><i>Canis lupus</i> (II) (Only includes populations of Spain north of the Duero and Greece north of the 39th parallel. All other populations are included in Annex A. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i>)</p> <p><i>Cerdocyon thous</i> (II)</p> <p><i>Chrysocyon brachyurus</i> (II)</p> <p><i>Cuon alpinus</i> (II)</p> <p><i>Lycalopex culpaeus</i> (II)</p> <p><i>Lycalopex fulvipes</i> (II)</p> <p><i>Lycalopex griseus</i> (II)</p> <p><i>Lycalopex gymnocercus</i> (II)</p>	<p><i>Canis aureus</i> (III India)</p>	<p>Dogs, foxes, wolves</p> <p>Golden jackal</p> <p>Grey wolf</p> <p>Ethiopian wolf</p> <p>Crab-eating fox</p> <p>Maned wolf</p> <p>Dhole</p> <p>Culpeo</p> <p>Darwin's fox</p> <p>South American grey fox</p> <p>Pampas fox</p>

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	Annex A	Annex B	Annex C	Common name
	<i>Speothos venaticus</i> (I)	<i>Vulpes cana</i> (II) <i>Vulpes zerda</i> (II)	<i>Vulpes bengalensis</i> (III India)	Bush dog Bengal fox Blanford's fox Fennec fox
Eupleridae		<i>Cryptoprocta ferox</i> (II) <i>Eupleres goudotii</i> (II) <i>Fossa fossana</i> (II)		Fossa Falanouc Malagasy civet
Felidae		Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to this Regulation. For <i>Panthera leo</i> (African populations): A zero annual export quota is established for specimens of bones, bone pieces, bone products, claws, skeletons, skulls and teeth removed from the wild and traded for commercial purposes. Annual export quotas for trade in bones, bone pieces, bone products, claws, skeletons, skulls and teeth for commercial purposes, derived from captive breeding operations in South Africa, will be established and communicated annually to the CITES Secretariat.)		Cats, cheetahs, leopards, lions, tigers etc. Cats

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	Annex A	Annex B	Annex C	Common name
	<p><i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to Article 4(1))</p> <p><i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B)</p> <p><i>Catopuma temminckii</i> (I)</p> <p><i>Felis nigripes</i> (I)</p> <p><i>Felis silvestris</i> (II)</p> <p><i>Herpailurus yagouaroundi</i> (I) (Only the populations of Central and North America; all other populations are included in Annex B)</p> <p><i>Leopardus geoffroyi</i> (I)</p> <p><i>Leopardus guttulus</i> (I)</p> <p><i>Leopardus jacobita</i> (I)</p> <p><i>Leopardus pardalis</i> (I)</p> <p><i>Leopardus tigrinus</i> (I)</p> <p><i>Leopardus wiedii</i> (I)</p> <p><i>Lynx lynx</i> (II)</p> <p><i>Lynx pardinus</i> (I)</p>			<p>Cheetah</p> <p>Asian Caracal</p> <p>Asian golden cat</p> <p>Black-footed cat</p> <p>Wild cat</p> <p>Jaguarundi</p> <p>Geoffroy's cat</p> <p>Southern tigrina</p> <p>Andean mountain cat</p> <p>Ocelot</p> <p>Oncilla</p> <p>Margay</p> <p>Eurasian lynx</p> <p>Iberian lynx</p>

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	Annex A	Annex B	Annex C	Common name
	<i>Neofelis diardi</i> (I)			Sunda clouded leopard
	<i>Neofelis nebulosa</i> (I)			Mainland clouded leopard
	<i>Panthera leo</i> (I) (Only the populations of India; all other populations are included in Annex B)			Asiatic lion
	<i>Panthera onca</i> (I)			Jaguar
	<i>Panthera pardus</i> (I)			Leopard
	<i>Panthera tigris</i> (I)			Tiger
	<i>Panthera uncia</i> (I)			Snow leopard
	<i>Pardofelis marmorata</i> (I)			Marbled cat
	<i>Prionailurus bengalensis bengalensis</i> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B)			Bengal leopard cat
	<i>Prionailurus bengalensis euptilurus</i> (II)			Iriomote cat
	<i>Prionailurus planiceps</i> (I)			Flat-headed cat
	<i>Prionailurus rubiginosus</i> (I) (Only the population of India; all other populations are included in Annex B)			Rusty-spotted cat
	<i>Puma concolor</i> (I) (Only the populations of Costa Rica and Panama; all other populations are included in Annex B)			Costa Rican cougar

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	Annex A	Annex B	Annex C	Common name
Herpestidae			<i>Herpestes edwardsi</i> (III India / Pakistan) <i>Herpestes fuscus</i> (III India) <i>Herpestes javanicus</i> (III Pakistan) <i>Herpestes javanicus auropunctatus</i> (III India) <i>Herpestes smithii</i> (III India) <i>Herpestes urva</i> (III India) <i>Herpestes vitticollis</i> (III India)	Mongoose Indian grey mongoose Indian brown mongoose Small Asian mongoose Small Indian mongoose Ruddy mongoose Crab-eating mongoose Stripe-necked mongoose
Hyaenidae			<i>Hyaena hyaena</i> (III Pakistan) <i>Proteles cristata</i> (III Botswana)	Aardwolf, hyenas Striped hyena Aardwolf
Mephitidae		<i>Conepatus humboldtii</i> (II)		Skunks Humboldt's hog-nosed skunk
Mustelidae				Badgers, martens, weasels etc.
Lutrinae				Otters
	<i>Aonyx capensis microdon</i> (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)	Lutrinae spp. (II) (Except for the species included in Annex A)		Otters Cameroon clawless otter

	Annex A	Annex B	Annex C	Common name
Mustelinae	<i>Aonyx cinerea</i> (I)			Small-clawed otter
	<i>Enhydra lutris nereis</i> (I)			Southern sea otter
	<i>Lontra felina</i> (I)			Marine otter
	<i>Lontra longicaudis</i> (I)			Neotropical otter
	<i>Lontra provocax</i> (I)			Southern river otter
	<i>Lutra lutra</i> (I)			European otter
	<i>Lutra nippon</i> (I)			Japanese otter
	<i>Lutrogale perspicillata</i> (I)			Smooth-coated otter
	<i>Pteronura brasiliensis</i> (I)			Giant otter
				Grisons, martens, tayra, weasels
			<i>Eira barbara</i> (III Honduras)	Tayra
			<i>Martes flavigula</i> (III India)	Yellow-throated marten
			<i>Martes foina intermedia</i> (III India)	Stone marten
Odobenidae			<i>Martes gwatkinsii</i> (III India)	Nilgiri marten
			<i>Mellivora capensis</i> (III Botswana)	Honey badger
Otariidae				Black-footed ferret
				Walrus
		<i>Odobenus rosmarus</i> (III Canada)		Walrus
				Fur seals, sealions
		<i>Arctocephalus</i> spp. (II) (Except for the species included in Annex A)		Fur seals

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	Annex A	Annex B	Annex C	Common name
	<i>Arctocephalus philippii</i> (II)			Juan Fernández fur seal
	<i>Arctocephalus townsendi</i> (I)			Guadalupe fur seal
Phocidae		<i>Mirounga leonina</i> (II)		Seals
	<i>Monachus</i> spp. (I)			Southern elephant seal
				Monk seals
Procyonidae			<i>Nasua narica</i> (III Honduras)	Coatis, olingos
			<i>Nasua nasua solitaria</i> (III Uruguay)	White-nosed coati
			<i>Potos flavus</i> (III Honduras)	South Brazilian coati
				Kinkajou
Ursidae		Ursidae spp. (II) (Except for the species included in Annex A)		Bears
	<i>Ailuropoda melanoleuca</i> (I)			Bears
	<i>Helarctos malayanus</i> (I)			Giant panda
	<i>Melursus ursinus</i> (I)			Sun bear
	<i>Tremarctos ornatus</i> (I)			Sloth bear
	<i>Ursus arctos</i> (I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II)			Spectacled bear
	<i>Ursus thibetanus</i> (I)			Brown bear
				Asian black bear

	Annex A	Annex B	Annex C	Common name
Viverridae	<i>Prionodon pardicolor</i> (I)	<i>Cynogale bennettii</i> (II) <i>Hemigalus derbyanus</i> (II) <i>Prionodon linsang</i> (II)		Binturong, civets, linsangs, otter-civet, palm civet
			<i>Arctictis binturong</i> (III India)	Binturong
			<i>Civettictis civetta</i> (III Botswana)	African civet
				Otter civet
				Banded palm civet
			<i>Paguma larvata</i> (III India)	Masked palm civet
			<i>Paradoxurus hermaphroditus</i> (III India)	Asian palm civet
			<i>Paradoxurus jerdoni</i> (III India)	Jerdon's palm civet
				Banded linsang
				Spotted linsang
CETACEA	CETACEA spp. (I/II) (²)			Cetaceans (dolphins, porpoises, whales)
				Cetaceans
CHIROPTERA				
Phyllostomidae				Broad-nosed bats
			<i>Platyrrhinus lineatus</i> (III Uruguay)	White-lined bat

	Annex A	Annex B	Annex C	Common name
Pteropodidae		<i>Acerodon</i> spp. (II) (Except for the species included in Annex A)		Fruit bats, flying foxes
				Flying foxes
	<i>Acerodon jubatus</i> (I)			Golden-capped fruit bat
		<i>Pteropus</i> spp. (II) (Except for the species included in Annex A and except for <i>Pteropus brunneus</i> .)		Flying foxes
	<i>Pteropus insularis</i> (I)			Ruck flying fox
	<i>Pteropus livingstonii</i> (II)			Comoro flying fox
	<i>Pteropus loochoensis</i> (I)			Japanese flying fox
	<i>Pteropus mariannus</i> (I)			Marianas flying fox
	<i>Pteropus molossinus</i> (I)			Caroline flying fox
	<i>Pteropus pelewensis</i> (I)			Pelew flying fox
	<i>Pteropus pilosus</i> (I)			Large Pelew flying fox
	<i>Pteropus rodricensis</i> (II)			Rodrigues flying fox
	<i>Pteropus samoensis</i> (I)			Samoan flying fox
	<i>Pteropus tonganus</i> (I)			Pacific flying fox
	<i>Pteropus ualanus</i> (I)			Kosrae flying fox
	<i>Pteropus voeltzkowi</i> (II)			Pemba flying fox
	<i>Pteropus yapensis</i> (I)			Yap flying fox

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	Annex A	Annex B	Annex C	Common name
CINGULATA				
Dasypodidae		<i>Chaetophractus nationi</i> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)	<i>Cabassous tatouay</i> (III Uruguay)	Armadillos Greater naked-tailed armadillo Andean hairy armadillo Giant armadillo
DASYUROMORPHIA				
Dasyuridae	<i>Sminthopsis longicaudata</i> (I) <i>Sminthopsis psammophila</i> (I)			Dunnarts, marsupial mice, planigales Long-tailed dunnart Sandhill dunnart
DIPROTODONTIA				
Macropodidae	<i>Lagorchesstes hirsutus</i> (I) <i>Lagostrophus fasciatus</i> (I) <i>Onychogalea fraenata</i> (I)	<i>Dendrolagus inustus</i> (II) <i>Dendrolagus ursinus</i> (II)		Kangaroos, wallabies Grizzled tree-kangaroo Ursine tree-kangaroo Rufous hare-wallaby Banded hare-wallaby Bridled nail-tail wallaby

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	Annex A	Annex B	Annex C	Common name
Phalangeridae		<i>Phalanger intercastellanus</i> (II) <i>Phalanger mimicus</i> (II) <i>Phalanger orientalis</i> (II) <i>Spilocuscus kraemeri</i> (II) <i>Spilocuscus maculatus</i> (II) <i>Spilocuscus papuensis</i> (II)		Cuscus Eastern common cuscus Southern common cuscus Northern common cuscus Admiralty Island cuscus Common spotted cuscus Waigeou cuscus
Potoroidae	<i>Bettongia</i> spp. (I)			Rat-kangaroos Bettongs
Vombatidae	<i>Lasiorhinus krefftii</i> (I)			Wombats Northern hairy-nosed wombat
LAGOMORPHA				
Leporidae	<i>Caprolagus hispidus</i> (I) <i>Romerolagus diazi</i> (I)			Hares, rabbits Hispid hare Volcano rabbit
MONOTREMATA				
Tachyglossidae		<i>Zaglossus</i> spp. (II)		Echidnas, spiny anteaters Long-beaked echidnas
PERAMELEMORPHIA				
Peramelidae	<i>Perameles bougainville</i> (I)			Western barred bandicoot

	Annex A	Annex B	Annex C	Common name
Thylacomyidae	<i>Macrotis lagotis</i> (I)			Greater bilby
PERISSODACTYLA				
Equidae	<i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to this Regulation) <i>Equus grevyi</i> (I) <i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> and <i>Equus hemionus khur</i> are listed in Appendix I) <i>Equus kiang</i> (II) <i>Equus przewalskii</i> (I)	<i>Equus zebra hartmannae</i> (II) <i>Equus zebra zebra</i> (II)		Horses, wild asses, zebras African ass Grévy's zebra Asiatic wild ass Kiang Przewalski's horse Hartmann's mountain zebra Cape mountain zebra
Rhinocerotidae	Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)			Rhinoceroses Rhinoceroses

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	Annex A	Annex B	Annex C	Common name
		<i>Ceratotherium simum simum</i> (II) (Only the populations of Eswatini and South Africa; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		Southern white rhinoceros
Tapiridae	Tapiridae spp. (I) (Except for the species included in Annex B)	<i>Tapirus terrestris</i> (II)		Tapirs Tapirs South American tapir
PHOLIDOTA Manidae	<i>Manis crassicaudata</i> (I) <i>Manis culionensis</i> (I) <i>Manis gigantea</i> (I) <i>Manis javanica</i> (I) <i>Manis pentadactyla</i> (I) <i>Manis temminckii</i> (I)	<i>Manis</i> spp. (II) (Except for the species included in Annex A)		Pangolins Pangolins Indian pangolin Philippine pangolin Giant pangolin Sunda pangolin Chinese pangolin Ground pangolin

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	Annex A	Annex B	Annex C	Common name
	<i>Manis tetradactyla</i> (I)			Long-tailed pangolin
	<i>Manis tricuspis</i> (I)			Tree pangolin
PILOSA				
Bradypodidae		<i>Bradypus pygmaeus</i> (II)		Three-toed sloths
		<i>Bradypus variegatus</i> (II)		Pygmy tree-toed sloth
				Brown-throated sloth
Myrmecophagidae		<i>Myrmecophaga tridactyla</i> (II)		American anteaters
			<i>Tamandua mexicana</i> (III Guatemala)	Giant anteater
				Northern tamandua
PRIMATES		PRIMATES spp. (II) (Except for the species included in Annex A)		Primates (apes and monkeys)
				Primates
Atelidae				Howlers, spider monkeys
	<i>Alouatta coibensis</i> (I)			Coiba Island howler
	<i>Alouatta palliata</i> (I)			Mantled howler
	<i>Alouatta pigra</i> (I)			Guatemalan black howler
	<i>Ateles geoffroyi frontatus</i> (I)			Black-browed spider monkey
	<i>Ateles geoffroyi ornatus</i> (I)			Red spider monkey
	<i>Brachyteles arachnoides</i> (I)			Southern muriqui
	<i>Brachyteles hypoxanthus</i> (I)			Northern muriqui

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	Annex A	Annex B	Annex C	Common name
	<i>Oreonax flavicauda</i> (I)			Yellow-tailed woolly monkey
Cebidae	<i>Callimico goeldii</i> (I) <i>Callithrix aurita</i> (I) <i>Callithrix flaviceps</i> (I) <i>Leontopithecus</i> spp. (I) <i>Saguinus bicolor</i> (I) <i>Saguinus geoffroyi</i> (I) <i>Saguinus leucopus</i> (I) <i>Saguinus martinsi</i> (I) <i>Saguinus oedipus</i> (I) <i>Saimiri oerstedii</i> (I)			Marmosets, tamarins, New-world monkeys Goeldi's marmoset Buffy-tufted marmoset Buffy-headed marmoset Lion tamarins Pied tamarin Geoffroy's tamarin White-footed tamarin Martins' bare-face tamarin Cottontop tamarin Central American squirrel monkey
Cercopithecidae	<i>Cercocebus galeritus</i> (I) <i>Cercopithecus diana</i> (I) <i>Cercopithecus roloway</i> (I) <i>Cercopithecus solatus</i> (II) <i>Colobus satanas</i> (II) <i>Macaca silenus</i> (I) <i>Macaca sylvanus</i> (I)			Old-world monkeys Tana River mangabey Diana monkey Roloway monkey Sun-tailed monkey Black colobus Lion-tailed macaque Barbary macaque

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	Annex A	Annex B	Annex C	Common name
	<i>Mandrillus leucophaeus</i> (I)			Drill
	<i>Mandrillus sphinx</i> (I)			Mandrill
	<i>Nasalis larvatus</i> (I)			Proboscis monkey
	<i>Piliocolobus foai</i> (II)			Central African red colobus
	<i>Piliocolobus gordonorum</i> (II)			Uzungwa red colobus
	<i>Piliocolobus kirkii</i> (I)			Zanzibar red colobus
	<i>Piliocolobus pennantii</i> (II)			Pennant's red colobus
	<i>Piliocolobus preussi</i> (II)			Preuss's red colobus
	<i>Piliocolobus rufomitratu</i> s (I)			Tana River red colobus
	<i>Piliocolobus tephrosceles</i> (II)			Ugandan red colobus
	<i>Piliocolobus tholloni</i> (II)			Thollon's red colobus
	<i>Presbytis potenziani</i> (I)			Mentawai langur
	<i>Pygathrix</i> spp. (I)			Douc langurs
	<i>Rhinopithecus</i> spp. (I)			Snub-nosed monkeys
	<i>Semnopithecus ajax</i> (I)			Kashmir grey langur
	<i>Semnopithecus dussumieri</i> (I)			Southern Plains grey langur
	<i>Semnopithecus entellus</i> (I)			Northern Plains grey langur
	<i>Semnopithecus hector</i> (I)			Tarai grey langur
	<i>Semnopithecus hypoleucos</i> (I)			Black-footed grey langur

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	Annex A	Annex B	Annex C	Common name
	<i>Semnopithecus priam</i> (I) <i>Semnopithecus schistaceus</i> (I) <i>Simias concolor</i> (I) <i>Trachypithecus delacouri</i> (II) <i>Trachypithecus francoisi</i> (II) <i>Trachypithecus geei</i> (I) <i>Trachypithecus hatinhensis</i> (II) <i>Trachypithecus johnii</i> (II) <i>Trachypithecus laotum</i> (II) <i>Trachypithecus pileatus</i> (I) <i>Trachypithecus poliocephalus</i> (II) <i>Trachypithecus shortridgei</i> (I)			Tufted grey langur Nepal grey langur Simakobou Delacour's langur François's langur Gee's golden langur Hatinh langur Nilgiri langur Laotian langur Capped langur White-headed langur Shortridge's langur
Cheirogaleidae				Dwarf lemurs and mouse-lemurs
	Cheirogaleidae spp. (I)			Dwarf lemurs and mouse lemurs
Daubentoniidae				Aye-aye
	<i>Daubentonia madagascariensis</i> (I)			Aye-aye
Hominidae				Chimpanzees, gorillas, orang-utan
	<i>Gorilla beringei</i> (I)			Eastern gorilla
	<i>Gorilla gorilla</i> (I)			Western gorilla
	<i>Pan</i> spp. (I)			Chimpanzee and bonobo

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	Annex A	Annex B	Annex C	Common name
	<i>Pongo abelii</i> (I) <i>Pongo pygmaeus</i> (I)			Sumatran orangutan Bornean orangutan
Hylobatidae	Hylobatidae spp. (I)			Gibbons Gibbons
Indriidae	Indriidae spp. (I)			Indri, sifakas and woolly lemurs Indri, sifakas and woolly lemurs
Lemuridae	Lemuridae spp. (I)			Large lemurs Large lemurs
Lepilemuridae	Lepilemuridae spp. (I)			Sportive lemurs Sportive lemurs
Lorisidae	<i>Nycticebus</i> spp. (I)			Lorises Slow lorises
Pitheciidae	<i>Cacajao</i> spp. (I) <i>Callicebus barbarabrownae</i> (II) <i>Callicebus melanochir</i> (II) <i>Callicebus nigrifrons</i> (II) <i>Callicebus personatus</i> (II) <i>Chiropotes albinasus</i> (I)			Uacaris, titis, sakis Uacaris Barbara Brown's Titi Coastal Black-handed Titi Black-fronted Titi Atlantic titi White-nosed saki

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	Annex A	Annex B	Annex C	Common name
Tarsiidae	<i>Tarsius</i> spp. (II)			Tarsiers Tarsiers
PROBOSCIDEA Elephantidae	<i>Elephas maximus</i> (I) <i>Loxodonta africana</i> (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	<i>Loxodonta africana</i> (II) (Only the populations of Botswana, Namibia, South Africa and Zimbabwe ⁽³⁾ ; all other populations are included in Annex A)		Elephants Asian elephant African elephant
RODENTIA Chinchillidae	<i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to this Regulation)			Chinchillas Chinchillas
Cuniculidae			<i>Cuniculus paca</i> (III Honduras)	Pacas Lowland paca
Dasyproctidae			<i>Dasyprocta punctata</i> (III Honduras)	Agoutis Central American agouti
Erethizontidae			<i>Sphiggurus mexicanus</i> (III Honduras) <i>Sphiggurus spinosus</i> (III Uruguay)	New-world porcupines Mexican hairy dwarf porcupine Paraguayan hairy dwarf porcupine

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	Annex A	Annex B	Annex C	Common name
Hystriidae				Old-world porcupines
	<i>Hystrix cristata</i>			Crested porcupine
Muridae		<i>Leporillus conditor</i> (II) <i>Pseudomys fieldi</i> (II) <i>Xeromys myoides</i> (II) <i>Zyzomys pedunculatus</i> (II)		Mice, rats Greater stick-nest rat Shark Bay mouse False water rat Central Australian rock rat
Sciuridae	<i>Cynomys mexicanus</i> (I)		<i>Marmota caudata</i> (III India) <i>Marmota himalayana</i> (III India)	Ground squirrels, tree squirrels Mexican prairie dog Long-tailed marmot Himalayan marmot Giant squirrels
SCANDENTIA		SCANDENTIA spp. (II)		Treeshrews
SIRENIA				
Dugongidae				Dugong
	<i>Dugong dugon</i> (I)			Dugong

	Annex A	Annex B	Annex C	Common name
Trichechidae	<i>Trichechus inunguis</i> (I) <i>Trichechus manatus</i> (I) <i>Trichechus senegalensis</i> (I)			Manatees
AVES				Birds
ANSERIFORMES				
Anatidae	<i>Anas aucklandica</i> (I) <i>Anas chlorotis</i> (I) <i>Anas laysanensis</i> (I) <i>Anas nesiotis</i> (I) <i>Anas querquedula</i> <i>Asarcornis scutulata</i> (I) <i>Aythya innotata</i> <i>Aythya nyroca</i> <i>Branta canadensis leucopareia</i> (I)	<i>Anas bernieri</i> (II) <i>Anas formosa</i> (II)		Ducks, geese, swans etc. Auckland Islands teal Madagascar teal Brown teal Baikal teal Laysan duck Campbell Island teal Garganey White-winged duck Madagascar pochard Ferruginous duck Aleutian goose

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	Annex A	Annex B	Annex C	Common name
	<p><i>Branta ruficollis</i> (II)</p> <p><i>Branta sandvicensis</i> (I)</p> <p><i>Mergus octosetaceus</i></p> <p><i>Oxyura leucocephala</i> (II)</p> <p><i>Rhodonessa caryophyllacea</i> (I)</p> <p><i>Tadorna cristata</i></p>	<p><i>Coscoroba coscoroba</i> (II)</p> <p><i>Cygnus melancoryphus</i> (II)</p> <p><i>Dendrocygna arborea</i> (II)</p> <p><i>Sarkidiornis melanotos</i> (II)</p>	<p><i>Dendrocygna autumnalis</i> (III Honduras)</p> <p><i>Dendrocygna bicolor</i> (III Honduras)</p>	<p>Red-breasted goose</p> <p>Nene</p> <p>Coscoroba swan</p> <p>Black-necked swan</p> <p>West Indian whistling-duck</p> <p>Black-bellied whistling-duck</p> <p>Fulvous whistling-duck</p> <p>Brazilian merganser</p> <p>White-headed duck</p> <p>Pink-headed duck</p> <p>Comb duck</p> <p>Crested shelduck</p>
APODIFORMES				
Trochilidae		Trochilidae spp. (II) (Except for the species included in Annex A)		<p>Hummingbirds</p> <p>Hummingbirds</p> <p>Hook-billed hermit</p>
CHARADRIIFORMES				
Burhinidae			<i>Burhinus bistriatus</i> (III Guatemala)	<p>Thick-knees</p> <p>Double-striped thick-knee</p>

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	Annex A	Annex B	Annex C	Common name
Laridae	<i>Larus relictus</i> (I)			Gulls, terns Relict gull
Scolopacidae	<i>Numenius borealis</i> (I) <i>Numenius tenuirostris</i> (I) <i>Tringa guttifer</i> (I)			Curlews, greenshanks Eskimo curlew Slender-billed curlew Nordmann's greenshank
CICONIIFORMES				
Ardeidae	<i>Ardea alba</i> <i>Bubulcus ibis</i> <i>Egretta garzetta</i>			Egrets, herons Great egret Cattle egret Little egret
Balaenicipitidae		<i>Balaeniceps rex</i> (II)		Shoebill, whale-headed stork Shoebill
Ciconiidae	<i>Ciconia boyciana</i> (I) <i>Ciconia nigra</i> (II) <i>Ciconia stormi</i> <i>Jabiru mycteria</i> (I) <i>Leptoptilos dubius</i> <i>Mycteria cinerea</i> (I)			Storks Oriental stork Black stork Storm's stork Jabiru Greater adjutant stork Milky stork

	Annex A	Annex B	Annex C	Common name
Phoenicopteridae		Phoenicopteridae spp. (II) (Except for the species included in Annex A)		Flamingos Flamingos Greater flamingo
Threskiornithidae	<i>Phoenicopus ruber</i> (II) <i>Geronticus calvus</i> (II) <i>Geronticus eremita</i> (I) <i>Nipponia nippon</i> (I) <i>Platalea leucorodia</i> (II) <i>Pseudibis gigantea</i>	<i>Eudocimus ruber</i> (II)		Ibises, spoonbills Scarlet ibis Bald ibis Waldrapp Crested ibis Eurasian spoonbill Giant ibis
COLUMBIFORMES				
Columbidae	<i>Caloenas nicobarica</i> (I) <i>Claravis godefrida</i> <i>Columba livia</i> <i>Ducula mindorensis</i> (I) <i>Leptotila wellsi</i>	<i>Gallicolumba luzonica</i> (II) <i>Goura</i> spp. (II)		Doves, pigeons Nicobar pigeon Purple-winged ground-dove Rock pigeon Mindoro zone-tailed pigeon Luzon bleeding-heart Crowned-pigeons Grenada dove

	Annex A	Annex B	Annex C	Common name
			<i>Nesoenas mayeri</i> (III Mauritius)	Pink pigeon
	<i>Streptopelia turtur</i>			European turtle-dove
CORACIIFORMES				
Bucerotidae				Hornbills
		<i>Aceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
<i>Aceros nipalensis</i> (I)				Rufous-necked hornbill
		<i>Anorrhinus</i> spp. (II)		Hornbills
		<i>Anthracoceros</i> spp. (II)		Hornbills
		<i>Berenicornis</i> spp. (II)		Hornbills
		<i>Buceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
<i>Buceros bicornis</i> (I)				Great hornbill
		<i>Penelopides</i> spp. (II)		Hornbills
<i>Rhinoplax vigil</i> (I)				Helmeted hornbill
		<i>Rhyticeros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
<i>Rhyticeros subruficollis</i> (I)				Plain-pouched hornbill

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	Annex A	Annex B	Annex C	Common name
CUCULIFORMES				
Musophagidae		<i>Tauraco</i> spp. (II) (Except for the species included in Annex A)		Turacos Turacos Bannerman's turaco
	<i>Tauraco bannermani</i> (II)			
FALCONIFORMES		FALCONIFORMES spp. (II) (Except for the species included in Annex A; except for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation; and except for <i>Caracara lutosa</i> which is not subject to this Regulation)		Diurnal birds of prey (eagles, falcons, hawks, vultures) Diurnal birds of prey
Accipitridae				Hawks, eagles Levant sparrowhawk Northern goshawk Eurasian sparrowhawk Cinereous vulture Adalbert's eagle Golden eagle Greater spotted eagle Imperial eagle
	<i>Accipiter brevipes</i> (II) <i>Accipiter gentilis</i> (II) <i>Accipiter nisus</i> (II) <i>Aegypius monachus</i> (II) <i>Aquila adalberti</i> (I) <i>Aquila chrysaetos</i> (II) <i>Aquila clanga</i> (II) <i>Aquila heliaca</i> (I)			

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	Annex A	Annex B	Annex C	Common name
	<i>Aquila pomarina</i> (II)			Lesser spotted eagle
	<i>Buteo buteo</i> (II)			Common buzzard
	<i>Buteo lagopus</i> (II)			Rough-legged buzzard
	<i>Buteo rufinus</i> (II)			Long-legged buzzard
	<i>Chondrohierax uncinatus wilsonii</i> (I)			Cuban hook-billed kite
	<i>Circaetus gallicus</i> (II)			Short-toed snake-eagle
	<i>Circus aeruginosus</i> (II)			Western marsh-harrier
	<i>Circus cyaneus</i> (II)			Northern harrier
	<i>Circus macrourus</i> (II)			Pallid harrier
	<i>Circus pygargus</i> (II)			Montagu's harrier
	<i>Elanus caeruleus</i> (II)			Black-winged kite
	<i>Eutriorchis astur</i> (II)			Madagascar serpent-eagle
	<i>Gypaetus barbatus</i> (II)			Lammergeier
	<i>Gyps fulvus</i> (II)			Eurasian griffon
	<i>Haliaeetus</i> spp. (I/II) (<i>Haliaeetus albicilla</i> is listed in Appendix I; the other species are listed in Appendix II)			Sea-eagles
	<i>Harpia harpyja</i> (I)			Harpy eagle
	<i>Hieraaetus fasciatus</i> (II)			Bonelli's eagle
	<i>Hieraaetus pennatus</i> (II)			Booted eagle
	<i>Leucopternis occidentalis</i> (II)			Grey-backed hawk

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	Annex A	Annex B	Annex C	Common name
	<p><i>Milvus migrans</i> (II) (Except for <i>Milvus migrans lineatus</i> which is included in Annex B)</p> <p><i>Milvus milvus</i> (II)</p> <p><i>Neophron percnopterus</i> (II)</p> <p><i>Pernis apivorus</i> (II)</p> <p><i>Pithecophaga jefferyi</i> (I)</p>			<p>Black kite</p> <p>Red kite</p> <p>Egyptian vulture</p> <p>European honey-buzzard</p> <p>Great Philippine eagle</p>
Cathartidae	<p><i>Gymnogyps californianus</i> (I)</p> <p><i>Vultur gryphus</i> (I)</p>		<p><i>Sarcoramphus papa</i> (III Honduras)</p>	<p>New world vultures</p> <p>California condor</p> <p>King vulture</p> <p>Andean condor</p>
Falconidae	<p><i>Falco araeus</i> (I)</p> <p><i>Falco biarmicus</i> (II)</p> <p><i>Falco cherrug</i> (II)</p> <p><i>Falco columbarius</i> (II)</p> <p><i>Falco eleonora</i> (II)</p> <p><i>Falco jugger</i> (I)</p> <p><i>Falco naumanni</i> (II)</p> <p><i>Falco newtoni</i> (I) (Only the population of the Seychelles)</p> <p><i>Falco pelegrinoides</i> (I)</p>			<p>Falcons</p> <p>Seychelles kestrel</p> <p>Lanner falcon</p> <p>Saker falcon</p> <p>Merlin</p> <p>Eleonora's falcon</p> <p>Laggar falcon</p> <p>Lesser kestrel</p> <p>Newton's kestrel</p> <p>Barbary falcon</p>

	Annex A	Annex B	Annex C	Common name
	<i>Falco peregrinus</i> (I) <i>Falco punctatus</i> (I) <i>Falco rusticolus</i> (I) <i>Falco subbuteo</i> (II) <i>Falco tinnunculus</i> (II) <i>Falco vespertinus</i> (II)			Peregrine falcon Mauritius kestrel Gyr falcon Eurasian hobby Common kestrel Red-footed falcon
Pandionidae	<i>Pandion haliaetus</i> (II)			Ospreys Osprey
GALLIFORMES				
Cracidae	<i>Crax alberti</i> (III Colombia) <i>Crax blumenbachii</i> (I) <i>Mitu mitu</i> (I) <i>Oreophasis derbianus</i> (I)	<i>Crax fasciolata</i>	<i>Crax daubentoni</i> (III Colombia) <i>Crax globulosa</i> (III Colombia) <i>Crax rubra</i> (III Colombia / Guatemala / Honduras) <i>Ortalis vetula</i> (III Guatemala / Honduras) <i>Pauxi pauxi</i> (III Colombia)	Blue-knobbed curassow Red-billed curassow Yellow-knobbed curassow Bare-faced Curassow Wattled curassow Great currasow Alagoas curassow Horned guan Plain chachalaca Helmeted curassow

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	Annex A	Annex B	Annex C	Common name
	<i>Penelope albipennis</i> (I)		<i>Penelope purpurascens</i> (III Honduras) <i>Penelopina nigra</i> (III Guatemala)	White-winged guan Crested guan Highland guan
	<i>Pipile jacutinga</i> (I)			Black-fronted piping guan
	<i>Pipile pipile</i> (I)			Trinidad piping guan
Megapodiidae				Megapodes, scrubfowl
	<i>Macrocephalon maleo</i> (I)			Maleo
Phasianidae				Grouse, guineafowl, partridges, pheasants, tragopans
		<i>Argusianus argus</i> (II)		Great argus
	<i>Catreus wallichii</i> (I)			Cheer pheasant
	<i>Colinus virginianus ridgwayi</i> (I)			Masked bobwhite
	<i>Crossoptilon crossoptilon</i> (I)			White eared-pheasant
	<i>Crossoptilon mantchuricum</i> (I)			Brown eared-pheasant
		<i>Gallus sonneratii</i> (II)		Grey junglefowl
		<i>Ithaginis cruentus</i> (II)		Blood pheasant
	<i>Lophophorus impejanus</i> (I)			Himalayan monal
	<i>Lophophorus lhuysii</i> (I)			Chinese monal
	<i>Lophophorus sclateri</i> (I)			Sclater's monal
	<i>Lophura edwardsi</i> (I)			Edwards' pheasant
			<i>Lophura leucomelanos</i> (III Pakistan)	Kalij pheasant
	<i>Lophura swinhoii</i> (I)			Swinhoe's pheasant

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	Annex A	Annex B	Annex C	Common name
			<i>Meleagris ocellata</i> (III Guatemala)	Ocellated turkey
	<i>Odontophorus strophium</i>			Gorgeted wood-quail
	<i>Ophrysia superciliosa</i>			Himalayan quail
			<i>Pavo cristatus</i> (III Pakistan)	Indian peafowl
		<i>Pavo muticus</i> (II)		Green peafowl
		<i>Polyplectron bicalcaratum</i> (II)		Grey peacock-pheasant
		<i>Polyplectron germaini</i> (II)		Germain's peacock-pheasant
		<i>Polyplectron malacense</i> (II)		Malayan peacock-pheasant
	<i>Polyplectron napoleonis</i> (I)			Palawan peacock-pheasant
		<i>Polyplectron schleiermacheri</i> (II)		Bornean peacock-pheasant
	<i>Rheinardia ocellata</i> (I)		<i>Pucrasia macrolopha</i> (III Pakistan)	Koklass pheasant
	<i>Syrmaticus ellioti</i> (I)			Crested argus
	<i>Syrmaticus humiae</i> (I)			Elliot's pheasant
	<i>Syrmaticus mikado</i> (I)			Hume's pheasant
		<i>Syrmaticus reevesii</i> (II)		Mikado pheasant
	<i>Tetraogallus caspius</i> (I)			Reeves's pheasant
	<i>Tetraogallus tibetanus</i> (I)			Caspian snowcock
	<i>Tragopan blythii</i> (I)			Tibetan snowcock
	<i>Tragopan caboti</i> (I)			Blyth's tragopan
				Cabot's tragopan

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	Annex A	Annex B	Annex C	Common name
	<i>Tragopan melanocephalus</i> (I)		<i>Tragopan satyra</i> (III Nepal)	Western tragopan Satyr tragopan
		<i>Tympanuchus cupido attwateri</i> (II)		Attwater's prairie-chicken
GRUIFORMES				
Gruidae		Gruidae spp. (II) (Except for the species included in Annex A)		Cranes Cranes Black crowned crane Whooping crane Sandhill crane Common crane Red-crowned crane Siberian crane Hooded crane Black-necked crane White-necked crane
	<i>Balearica pavonina</i> (I) <i>Grus americana</i> (I) <i>Grus canadensis</i> (I/II) (The species is listed in Appendix II but subspecies <i>Grus canadensis nesiotes</i> and <i>Grus canadensis pulla</i> are listed in Appendix I) <i>Grus grus</i> (II) <i>Grus japonensis</i> (I) <i>Grus leucogeranus</i> (I) <i>Grus monacha</i> (I) <i>Grus nigricollis</i> (I) <i>Grus vipio</i> (I)			
Otididae		Otididae spp. (II) (Except for the species included in Annex A)		Bustards Bustards

	Annex A	Annex B	Annex C	Common name
	<i>Ardeotis nigriceps</i> (I) <i>Chlamydotis macqueenii</i> (I) <i>Chlamydotis undulata</i> (I) <i>Houbaropsis bengalensis</i> (I) <i>Otis tarda</i> (II) <i>Sypheotides indicus</i> (II) <i>Tetrax tetrax</i> (II)			Indian bustard Macqueen's bustard Houbara bustard Bengal florican Great bustard Lesser florican Little bustard
Rallidae				Coots, rails
	<i>Gallirallus sylvestris</i> (I)			Lord Howe rail
Rhynochetidae				Kagu
	<i>Rhynochetos jubatus</i> (I)			Kagu
PASSERIFORMES				
Audidae				Larks
			<i>Alauda arvensis</i> (III Ukraine) (population of Ukraine)	Eurasian skylark
			<i>Galerida cristata</i> (III Ukraine) (population of Ukraine)	Crested lark
			<i>Lullula arborea</i> (III Ukraine) (population of Ukraine)	Woodlark
			<i>Melanocorypha calandra</i> (III Ukraine) (population of Ukraine)	Calandra lark

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	Annex A	Annex B	Annex C	Common name
Atrichornithidae				Scrub-birds
	<i>Atrichornis clamosus</i> (I)			Noisy scrub-bird
Cotingidae				Cotingas
			<i>Cephalopterus ornatus</i> (III Colombia)	Amazonian umbrella bird
			<i>Cephalopterus penduliger</i> (III Colombia)	Long-wattled umbrella bird
	<i>Cotinga maculata</i> (I)			Banded cotinga
		<i>Rupicola</i> spp. (II)		Cocks-of-the-rock
	<i>Xipholena atropurpurea</i> (I)			White-winged cotinga
Emberizidae				Old-world buntings, cardinals, tanagers
			<i>Emberiza citrinella</i> (III Ukraine) (population of Ukraine)	Yellowhammer
			<i>Emberiza hortulana</i> (III Ukraine) (population of Ukraine)	Ortolan bunting
		<i>Gubernatrix cristata</i> (II)		Yellow cardinal
		<i>Paroaria capitata</i> (II)		Yellow-billed cardinal
		<i>Paroaria coronata</i> (II)		Red-crested cardinal
		<i>Tangara fastuosa</i> (II)		Seven-coloured tanager
Estrildidae				Mannikins, waxbills
		<i>Amandava formosa</i> (II)		Green avadavat
		<i>Lonchura fuscata</i>		Timor sparrow
		<i>Lonchura oryzivora</i> (II)		Java sparrow

	Annex A	Annex B	Annex C	Common name
		<i>Poephila cincta cincta</i> (II)		Southern black-throated finch
Fringillidae	<i>Carduelis cucullata</i> (I)	<i>Carduelis yarrellii</i> (II)	<i>Carduelis cannabina</i> (III Ukraine) (population of Ukraine) <i>Carduelis carduelis</i> (III Ukraine) (population of Ukraine) <i>Carduelis flammea</i> (III Ukraine) (population of Ukraine) <i>Carduelis hornemanni</i> (III Ukraine) (population of Ukraine) <i>Carduelis spinus</i> (III Ukraine) (population of Ukraine) <i>Carpodacus erythrinus</i> (III Ukraine) (population of Ukraine) <i>Loxia curvirostra</i> (III Ukraine) (population of Ukraine) <i>Pyrrhula pyrrhula</i> (III Ukraine) (population of Ukraine) <i>Serinus serinus</i> (III Ukraine) (population of Ukraine)	Finches Common linnet European goldfinch Red siskin Redpoll Arctic redpoll Eurasian siskin Yellow-faced siskin Common rosefinch Red crossbill Eurasian bullfinch European serin
Hirundinidae	<i>Pseudochelidon sirintarae</i> (I)			Martins White-eyed river-martin

	Annex A	Annex B	Annex C	Common name
Icteridae				New-world blackbirds
	<i>Xanthopsar flavus</i> (I)			Saffron-cowled blackbird
Meliphagidae				Honey-eaters
		<i>Lichenostomus melanops cassidix</i> (II)		Helmeted honeyeater
Muscicapidae	<i>Acrocephalus rodericanus</i> (III Mauritius)			Old-world flycatchers, babblers, etc.
				Rodrigues brush-warbler
		<i>Cyornis ruckii</i> (II)		Rueck's blue-flycatcher
		<i>Dasyornis broadbenti litoralis</i> (II)		Western rufous bristlebird
		<i>Dasyornis longirostris</i> (II)		Western bristlebird
			<i>Erithacus rubecula</i> (III Ukraine) (population of Ukraine)	European robin
			<i>Ficedula parva</i> (III Ukraine) (popu- lation of Ukraine)	Red-breasted flycatcher
		<i>Garrulax canorus</i> (II)		Chinese Hwamei
		<i>Garrulax taewanus</i> (II)		Taiwan Hwamei
			<i>Hippolais icterina</i> (III Ukraine) (population of Ukraine)	Icterine warbler
		<i>Leiothrix argenteauris</i> (II)		Silver-eared mesia
		<i>Leiothrix lutea</i> (II)		Red-billed leiothrix
		<i>Liocichla omeiensis</i> (II)		Omei Shan liocichla

	Annex A	Annex B	Annex C	Common name
			<i>Luscinia svecica</i> (III Ukraine) (population of Ukraine)	Bluethroat
			<i>Luscinia luscinia</i> (III Ukraine) (population of Ukraine)	Thrush nightingale
			<i>Luscinia megarhynchos</i> (III Ukraine) (population of Ukraine)	Common nightingale
			<i>Monticola saxatilis</i> (III Ukraine) (population of Ukraine)	Rufous-tailed rock-thrush
	<i>Picathartes gymnocephalus</i> (I)			White-necked rockfowl
	<i>Picathartes oreas</i> (I)			Grey-necked rockfowl
			<i>Sylvia atricapilla</i> (III Ukraine) (population of Ukraine)	Eurasian blackcap
			<i>Sylvia borin</i> (III Ukraine) (population of Ukraine)	Garden warbler
			<i>Sylvia curruca</i> (III Ukraine) (population of Ukraine)	Lesser whitethroat
			<i>Sylvia nisoria</i> (III Ukraine) (population of Ukraine)	Barred warbler
			<i>Terpsiphone bourbonensis</i> (III Mauritius)	Mascarene paradise-flycatcher
			<i>Turdus merula</i> (III Ukraine) (population of Ukraine)	Eurasian blackbird
			<i>Turdus philomelos</i> (III Ukraine) (population of Ukraine)	Song thrush

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	Annex A	Annex B	Annex C	Common name
Oriolidae			<i>Oriolus oriolus</i> (III Ukraine) (population of Ukraine)	Old-world orioles Eurasian golden oriole
Paradisaeidae		Paradisaeidae spp. (II)		Birds of paradise Birds of paradise
Paridae			<i>Parus ater</i> (III Ukraine) (population of Ukraine)	Tits and chickadees Coal tit
Pittidae	<i>Pitta guajana</i> (I) <i>Pitta kochi</i> (I)	<i>Pitta guajana</i> (II) <i>Pitta nympha</i> (II)		Pittas Banded pitta Gurney's pitta Whiskered pitta Fairy pitta
Pycnonotidae		<i>Pycnonotus zeylanicus</i> (II)		Bulbuls Straw-headed bulbul
Sturnidae	<i>Leucopsar rothschildi</i> (I)	<i>Gracula religiosa</i> (II)		Mynas Hill myna Bali myna
Troglodytidae			<i>Troglodytes troglodytes</i> (III Ukraine) (population of Ukraine)	Wrens Eurasian wren

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	Annex A	Annex B	Annex C	Common name
Zosteropidae	<i>Zosterops albogularis</i> (I)			White-eyes White-chested white-eye
PELECANIFORMES				
Fregatidae	<i>Fregata andrewsi</i> (I)			Frigatebirds Christmas frigatebird
Pelecanidae	<i>Pelecanus crispus</i> (I)			Pelicans Dalmatian pelican
Sulidae	<i>Papasula abbotti</i> (I)			Boobies Abbott's booby
PICIFORMES				
Capitonidae			<i>Semnornis ramphastinus</i> (III Colombia)	Barbets Toucan barbet
Picidae	<i>Dryocopus javensis richardsi</i> (I)			Woodpeckers Tristram's woodpecker
Ramphastidae		<i>Pteroglossus aracari</i> (II) <i>Pteroglossus viridis</i> (II)	<i>Bailloniuss bailloni</i> (III Argentina) <i>Pteroglossus castanotis</i> (III Argentina)	Toucans Saffron toucanet Black-necked aracari Chestnut-eared aracari Green aracari

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	Annex A	Annex B	Annex C	Common name
		<i>Ramphastos sulfuratus</i> (II) <i>Ramphastos toco</i> (II) <i>Ramphastos tucanus</i> (II) <i>Ramphastos vitellinus</i> (II)	<i>Ramphastos dicolorus</i> (III Argentina) <i>Selenidera maculirostris</i> (III Argentina)	Red-breasted toucan Keel-billed toucan Toco toucan Red-billed toucan Channel-billed toucan Spot-billed toucanet
PODICIPEDIFORMES Podicipedidae	<i>Podilymbus gigas</i> (I)			Grebes Atitlan Grebe
PROCELLARIIFORMES Diomedeidae	<i>Phoebastria albatrus</i> (I)			Albatrosses Short-tailed albatross
PSITTACIFORMES		PSITTACIFORMES spp. (II) (Except for the species included in Annex A and excluding <i>Agapornis roseicollis</i> , <i>Melopsittacus undulatus</i> , <i>Nymphicus hollandicus</i> and <i>Psittacula krameri</i> , which are not included in the Annexes to this Regulation)		Cockatoos, lories, macaws, parakeets, parrots etc. Parrots, etc.
Cacatuidae	<i>Cacatua goffiniana</i> (I)			Cockatoos Tanimbar cockatoo

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	Annex A	Annex B	Annex C	Common name
	<i>Cacatua haematuropygia</i> (I) <i>Cacatua moluccensis</i> (I) <i>Cacatua sulphurea</i> (I) <i>Probosciger aterrimus</i> (I)			Philippine cockatoo Salmon-crested cockatoo Yellow-crested cockatoo Palm cockatoo
Loriidae	<i>Eos histrio</i> (I) <i>Vini</i> spp. (I/II) (<i>Vini ultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)			Lories, lorikeets Red and blue lory Blue lorikeets
Psittacidae	<i>Amazona arausiaca</i> (I) <i>Amazona auropalliata</i> (I) <i>Amazona barbadensis</i> (I) <i>Amazona brasiliensis</i> (I) <i>Amazona finschi</i> (I) <i>Amazona guildingii</i> (I) <i>Amazona imperialis</i> (I) <i>Amazona leucocephala</i> (I) <i>Amazona oratrix</i> (I) <i>Amazona pretrei</i> (I)			Amazons, macaws, parakeets, parrots Red-necked parrot Yellow-naped parrot Yellow-shouldered parrot Red-tailed parrot Lilac-crowned parrot St Vincent parrot Imperial parrot Cuban parrot Yellow-headed parrot Red-spectacled parrot

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	Annex A	Annex B	Annex C	Common name
	<i>Amazona rhodocorytha</i> (I)			Red-browed parrot
	<i>Amazona tucumana</i> (I)			Tucuman parrot
	<i>Amazona versicolor</i> (I)			Saint Lucia parrot
	<i>Amazona vinacea</i> (I)			Vinaceous parrot
	<i>Amazona viridigenalis</i> (I)			Green-cheeked parrot
	<i>Amazona vittata</i> (I)			Puerto Rican parrot
	<i>Anodorhynchus</i> spp. (I)			Blue macaws
	<i>Ara ambiguus</i> (I)			Great green macaw
	<i>Ara glaucogularis</i> (I)			Blue-throated macaw
	<i>Ara macao</i> (I)			Scarlet macaw
	<i>Ara militaris</i> (I)			Military macaw
	<i>Ara rubrogenys</i> (I)			Red-fronted macaw
	<i>Cyanopsitta spixii</i> (I)			Spix's macaw
	<i>Cyanoramphus cookii</i> (I)			Norfolk Island parakeet
	<i>Cyanoramphus forbesi</i> (I)			Chatham Island yellow-fronted parakeet
	<i>Cyanoramphus novaeseelandiae</i> (I)			Red-fronted parakeet
	<i>Cyanoramphus saisseti</i> (I)			Red-crowned parakeet
	<i>Cyclopsitta diophthalma coxeni</i> (I)			Coxen's double-eyed fig parrot
	<i>Eunymphicus cornutus</i> (I)			Horned parakeet
	<i>Guarouba guarouba</i> (I)			Golden parakeet

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	Annex A	Annex B	Annex C	Common name
	<i>Neophema chrysogaster</i> (I) <i>Ognorhynchus icterotis</i> (I) <i>Pezoporus occidentalis</i> (I) <i>Pezoporus wallicus</i> (I) <i>Pionopsitta pileata</i> (I) <i>Primolius couloni</i> (I) <i>Primolius maracana</i> (I) <i>Psephotus chrysopterygius</i> (I) <i>Psephotus dissimilis</i> (I) <i>Psephotus pulcherrimus</i> (I) <i>Psittacula echo</i> (I) <i>Psittacus erithacus</i> (I) <i>Pyrrhura cruentata</i> (I) <i>Rhynchopsitta</i> spp. (I) <i>Strigops habroptilus</i> (I)			Orange-bellied parrot Yellow-eared parrot Night parrot Ground parrot Pileated parrot Blue-headed macaw Blue-winged macaw Golden-shouldered parrot Hooded parrot Paradise parrot Mauritius parakeet African grey parrot Blue-throated parakeet Thick-billed parrots Kakapo
RHEIFORMES				
Rheidae	<i>Pterocnemia pennata</i> (I) (Except <i>Pterocnemia pennata pennata</i> which is included in Annex B)	<i>Pterocnemia pennata pennata</i> (II) <i>Rhea americana</i> (II)		Rheas Lesser rhea Lesser rhea Greater rhea

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	Annex A	Annex B	Annex C	Common name
SPHENISCIFORMES				
Spheniscidae				Penguins
		<i>Spheniscus demersus</i> (II)		Jackass penguin
	<i>Spheniscus humboldti</i> (I)			Humboldt penguin
STRIGIFORMES				Owls
		STRIGIFORMES spp. (II) (Except for the species included in Annex A and except for <i>Sceloglaux albifacies</i>)		Owls
Strigidae				Owls
	<i>Aegolius funereus</i> (II)			Boreal owl
	<i>Asio flammeus</i> (II)			Short-eared owl
	<i>Asio otus</i> (II)			Long-eared owl
	<i>Athene noctua</i> (II)			Little owl
	<i>Bubo bubo</i> (II) (Except for <i>Bubo bubo bengalensis</i> which is included in Annex B)			Eurasian eagle-owl
	<i>Glaucidium passerinum</i> (II)			Eurasian pygmy-owl
	<i>Heteroglaux blewitti</i> (I)			Forest owlet
	<i>Mimizuku gurneyi</i> (I)			Lesser eagle-owl
	<i>Ninox natalis</i> (I)			Christmas hawk-owl
	<i>Nyctea scandiaca</i> (II)			Snowy owl

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	Annex A	Annex B	Annex C	Common name
	<i>Otus ireneae</i> (II) <i>Otus scops</i> (II) <i>Strix aluco</i> (II) <i>Strix nebulosa</i> (II) <i>Strix uralensis</i> (II) (Except for <i>Strix uralensis davidi</i> which is included in Annex B) <i>Surnia ulula</i> (II)			Sokoke scops-owl Eurasian scops-owl Tawny owl Great grey owl Ural owl Northern hawk owl
Tytonidae	 <i>Tyto alba</i> (II) <i>Tyto soumagnei</i> (I)			Barn owls Barn owl Soumagne's owl
STRUTHIONIFORMES Struthionidae	 <i>Struthio camelus</i> (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)			Ostrich Ostrich
TINAMIFORMES Tinamidae	 <i>Tinamus solitarius</i> (I)			Tinamous Solitary tinamou

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	Annex A	Annex B	Annex C	Common name
TROGONIFORMES				
Trogonidae				Quetzals
	<i>Pharomachrus mocinno</i> (I)			Resplendent quetzal
REPTILIA				Reptiles
CROCODYLIA				Alligators, caimans, crocodiles
		CROCODYLIA spp. (II) (Except for the species included in Annex A)		Alligators, caimans, crocodiles
Alligatoridae				Alligators, caimans
	<i>Alligator sinensis</i> (I)			Chinese alligator
	<i>Caiman crocodilus apaporiensis</i> (I)			Rio Apaporis spectacled caiman
	<i>Caiman latirostris</i> (I) (Except for the population of Argentina, which is included in Annex B)			Broad-nosed caiman
	<i>Melanosuchus niger</i> (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Black caiman

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	Annex A	Annex B	Annex C	Common name
Crocodylidae	<p><i>Crocodylus acutus</i> (I) (Except for the population of the Integrated Management District of Mangroves of the Bay of Cispata, Tinajones, La Balsa and Surrounding Areas, Department of Córdoba, Colombia, and the population of Cuba, which are included in Annex B, and the population of Mexico, which is included in Annex B and is subject to a zero export quota for wild specimens for commercial purposes)</p> <p><i>Crocodylus cataphractus</i> (I)</p> <p><i>Crocodylus intermedius</i> (I)</p> <p><i>Crocodylus mindorensis</i> (I)</p> <p><i>Crocodylus moreletii</i> (I) (Except for the population of Belize, which is included in Annex B with a zero quota for wild specimens traded for commercial purposes, and the population of Mexico, which is included in Annex B)</p> <p><i>Crocodylus niloticus</i> (I) (Except for the populations of Botswana, Egypt [subject to a zero quota for wild specimens traded for commercial purposes], Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B)</p>			<p>Crocodiles</p> <p>American crocodile</p> <p>African slender-snouted crocodile</p> <p>Orinoco crocodile</p> <p>Philippine crocodile</p> <p>Morelet's crocodile</p> <p>Nile crocodile</p>

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	Annex A	Annex B	Annex C	Common name
	<i>Crocodylus palustris</i> (I) <i>Crocodylus porosus</i> (I) (Except for the populations of Australia, Indonesia, Malaysia [wild harvest restricted to the State of Sarawak and a zero quota for wild specimens for the other States of Malaysia (Sabah and Peninsular Malaysia), with no change in the zero quota unless approved by the CITES Parties] and Papua New Guinea, which are included in Annex B) <i>Crocodylus rhombifer</i> (I) <i>Crocodylus siamensis</i> (I) <i>Osteolaemus tetraspis</i> (I) <i>Tomistoma schlegelii</i> (I)			Mugger crocodile Estuarine crocodile Cuban crocodile Siamese crocodile West African dwarf crocodile False gharial
Gavialidae				Gavial or gharial
	<i>Gavialis gangeticus</i> (I)			Gharial
RHYNCHOCEPHALIA				
Sphenodontidae				Tuataras
	<i>Sphenodon</i> spp. (I)			Tuataras
SAURIA				
Agamidae				Spiny-tailed lizards (Agamas, mastigures)
			<i>Calotes ceylonensis</i> (III Sri Lanka)	Painted-lip lizard
			<i>Calotes desilvai</i> (III Sri Lanka)	Desilvas' whistling lizard

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	Annex A	Annex B	Annex C	Common name
			<i>Calotes liocephalus</i> (III Sri Lanka)	Crestless lizard
			<i>Calotes lolepis</i> (III Sri Lanka)	Whistling lizard
			<i>Calotes manamendrai</i> (III Sri Lanka)	Manamendra-Arachchi's whistling lizard
			<i>Calotes nigrilabris</i> (III Sri Lanka)	Black-cheek lizard
			<i>Calotes pethiyagodai</i> (III Sri Lanka)	Pethiyagoda's crestless lizard
		<i>Ceratophora aspera</i> (II) (Zero export quota for wild specimens for commercial purposes)		Rough-nosed horned lizard
	<i>Ceratophora erdeleni</i> (I)			Erdelen's horned lizard
	<i>Ceratophora karu</i> (I)			Karu's (horned) lizard
		<i>Ceratophora stoddartii</i> (II) (Zero export quota for wild specimens for commercial purposes)		Rhino - horned lizard
	<i>Ceratophora tennentii</i> (I)			Tennent's leaf – nosed lizard
	<i>Cophotis ceylanica</i> (I)			Pygmy lizards
	<i>Cophotis dumbara</i> (I)			Knuckles pygmy lizard
		<i>Lyriocephalus scutatus</i> (II) (Zero export quota for wild specimens for commercial purposes)		Hump-nosed lizard
		<i>Saara</i> spp. (II)		
		<i>Uromastyx</i> spp. (II)		Spiny-tailed lizards

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	Annex A	Annex B	Annex C	Common name
Anguidae	<i>Abronia anzueto</i> (I) <i>Abronia campbelli</i> (I) <i>Abronia fimbriata</i> (I) <i>Abronia frosti</i> (I) <i>Abronia meledona</i> (I)	<i>Abronia</i> spp. (II) (except for the species included in Annex A. A zero export quota has been established for wild specimens for <i>Abronia aurita</i> , <i>A. gaiophasma</i> , <i>A. montecristoi</i> , <i>A. salvadorensis</i> and <i>A. vasconcelosii</i>)		Alligator lizards Alligator lizards
Chamaeleonidae	<i>Brookesia perarmata</i> (I)	<i>Archaius</i> spp. (II) <i>Bradypodion</i> spp. (II) <i>Brookesia</i> spp. (II) (Except for the species included in Annex A) <i>Calumma</i> spp. (II) <i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A)		Chameleons Dwarf chameleons Dwarf chameleons Dwarf spiny chameleon Madagascar chameleons Chameleons

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	Annex A	Annex B	Annex C	Common name
	<i>Chamaeleo chamaeleon</i> (II)	<i>Furcifer</i> spp. (II) <i>Kinyongia</i> spp. (II) <i>Nadzikambia</i> spp. (II) <i>Palleon</i> spp. (II) <i>Rhampholeon</i> spp. (II) <i>Rieppeleon</i> spp. (II) <i>Trioceros</i> spp. (II)		European chameleon Madagascar chameleons Dwarf chameleons Dwarf chameleons Pygmy chamaeleons Pygmy chamaeleons
Cordylidae		<i>Cordylus</i> spp. (II) <i>Hemicordylus</i> spp. (II) <i>Karusaurus</i> spp. (II) <i>Namazonurus</i> spp. (II) <i>Ninurta</i> spp. (II) <i>Ouroborus</i> spp. (II) <i>Pseudocordylus</i> spp. (II) <i>Smaug</i> spp. (II)		Spiny-tailed lizards Girdled lizards

	Annex A	Annex B	Annex C	Common name
Gekkonidae				Geckos
	<i>Cnemaspis psychedelica</i> (I)			Psychedelic rock gecko
			<i>Dactylocnemis</i> spp. (III New Zealand)	
		<i>Gekko gecko</i> (II)		Tokay gecko
	<i>Gonatodes daudini</i> (I)	<i>Goniurosaurus</i> spp. (II) (except the species native to Japan)	<i>Goniurosaurus kuroi</i> wae #18 (III Japan)	Grenadines clawed gecko Tiger geckos Kuroiwa's eyelid gecko
			<i>Goniurosaurus orientalis</i> #18 (III Japan)	Spotted ground gecko
			<i>Goniurosaurus sengoku</i> i #18 (III Japan)	Sengoku's gecko
			<i>Goniurosaurus splendens</i> #18 (III Japan)	
			<i>Goniurosaurus toyamai</i> #18 (III Japan)	Iheyajima leopard gecko
			<i>Goniurosaurus yamashinae</i> #18 (III Japan)	Yamashina's leopard gecko
			<i>Hoplodactylus</i> spp. (III New Zealand)	Sticky-toed geckos

	Annex A	Annex B	Annex C	Common name
	<i>Lygodactylus williamsi</i> (I)		<i>Mokopirirakau</i> spp. (III New Zealand)	Turquoise dwarf gecko
		<i>Nactus serpensinsula</i> (II)		Serpent Island gecko
		<i>Naultinus</i> spp. (II)		New Zealand tree geckos
		<i>Paroedura androyensis</i> (II)		Grandidier's Madagascar ground gecko
		<i>Paroedura masobe</i> (II)		Masobe gecko
		<i>Phelsuma</i> spp. (II) (Except for the species included in Annex A)		Day geckos
	<i>Phelsuma guentheri</i> (II)			Round Island day gecko
		<i>Rhoptropella</i> spp. (II)		
			<i>Sphaerodactylus armasi</i> (III Cuba)	Guantanamo least gecko
			<i>Sphaerodactylus celicara</i> (III Cuba)	Baracoan eyespot sphaero
			<i>Sphaerodactylus dimorphicus</i> (III Cuba)	
			<i>Sphaerodactylus intermedius</i> (III Cuba)	Mantanzas least gecko
			<i>Sphaerodactylus nigropunctatus alayoi</i> (III Cuba)	
			<i>Sphaerodactylus nigropunctatus granti</i> (III Cuba)	
			<i>Sphaerodactylus nigropunctatus lisso-</i> <i>desmus</i> (III Cuba)	

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	Annex A	Annex B	Annex C	Common name
			<i>Sphaerodactylus nigropunctatus ocujal</i> (III Cuba) <i>Sphaerodactylus nigropunctatus strategus</i> (III Cuba) <i>Sphaerodactylus notatus atactus</i> (III Cuba) <i>Sphaerodactylus oliveri</i> (III Cuba) <i>Sphaerodactylus pimienta</i> (III Cuba) <i>Sphaerodactylus ruibali</i> (III Cuba) <i>Sphaerodactylus siboney</i> (III Cuba) <i>Sphaerodactylus torrei</i> (III Cuba) <i>Toropuku</i> spp. (III New Zealand) <i>Tukutuku</i> spp. (III New Zealand) <i>Uroplatus</i> spp. (II) <i>Woodworthia</i> spp. (III New Zealand)	Juventud least gecko Pepper sphaero Ruibal’s least gecko Barbour’s least gecko Flat-tailed geckos
Helodermatidae	<i>Heloderma horridum charlesbogerti</i> (I)	<i>Heloderma</i> spp. (II) (Except for the subspecies included in Annex A)		Gila monster and beaded lizard Gila monster and beaded lizard Guatemalan beaded lizard
Iguanidae		<i>Amblyrhynchus cristatus</i> (II)		Iguanas Galapagos marine iguana

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	Annex A	Annex B	Annex C	Common name
	<i>Brachylophus</i> spp. (I) <i>Cyclura</i> spp. (I) <i>Sauromalus varius</i> (I)	<i>Conolophus</i> spp. (II) <i>Ctenosaura</i> spp. (II) <i>Iguana</i> spp. (II) <i>Phrynosoma blainvillii</i> (II) <i>Phrynosoma cerroense</i> (II) <i>Phrynosoma coronatum</i> (II) <i>Phrynosoma wigginsi</i> (II)		Fiji iguanas Galapagos land iguanas Spiny-tailed iguanas Ground iguanas Iguanas Blaineville's horned lizard Cedros Island horned lizard Coast horned lizard Gulf coast horned lizard San Esteban Island chuckwalla
Lacertidae	<i>Gallotia simonyi</i> (I) <i>Podarcis lilfordi</i> (II) <i>Podarcis pityusensis</i> (II)			Lizards Hierro giant lizard Lilford's wall lizard Ibiza wall lizard
Lanthanotidae		Lanthanotidae spp. (II) (A zero export quota has been established for wild specimens for commercial trade)		Earless Monitor Lizard
Polychrotidae			<i>Anolis agueroi</i> (III Cuba) <i>Anolis baracoae</i> (III Cuba)	Anoles

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	Annex A	Annex B	Annex C	Common name
			<i>Anolis barbatus</i> (III Cuba) <i>Anolis chamaeleonides</i> (III Cuba) <i>Anolis equestris</i> (III Cuba) <i>Anolis guamuhaya</i> (III Cuba) <i>Anolis luteogularis</i> (III Cuba) <i>Anolis pigmaequestris</i> (III Cuba) <i>Anolis porcus</i> (III Cuba)	
Scincidae		<i>Corucia zebrata</i> (II)		Skinks Prehensile-tailed skink
Teiidae		<i>Crocodilurus amazonicus</i> (II) <i>Dracaena</i> spp. (II) <i>Salvator</i> spp. (II) <i>Tupinambis</i> spp.(II)		Caiman lizards, tegu lizards Dragon lizard Caiman lizards Tegus
Varanidae	<i>Varanus bengalensis</i> (I) <i>Varanus flavescens</i> (I) <i>Varanus griseus</i> (I) <i>Varanus komodoensis</i> (I)	<i>Varanus</i> spp. (II) (Except for the species included in Annex A)		Monitor lizards Monitor lizards Indian monitor Yellow monitor Desert monitor Komodo dragon

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	Annex A	Annex B	Annex C	Common name
	<i>Varanus nebulosus</i> (I)			Clouded monitor
	<i>Varanus olivaceus</i> (II)			Gray's monitor
Xenosauridae				Chinese crocodile lizard
	<i>Shinisaurus crocodilurus</i> (I)			Chinese crocodile lizard
SERPENTES				Snakes
Boidae		Boidae spp. (II) (Except for the species included in Annex A)		Boas
	<i>Acrantophis</i> spp. (I)			Boas
	<i>Boa constrictor occidentalis</i> (I)			Madagascar ground boas
	<i>Epicrates inornatus</i> (I)			Argentine boa constrictor
	<i>Epicrates monensis</i> (I)			Puerto Rican boa
	<i>Epicrates subflavus</i> (I)			Virgin Island tree boa
	<i>Eryx jaculus</i> (II)			Jamaican boa
	<i>Sanzinia madagascariensis</i> (I)			Spotted sand boa
				Madagascar tree boa
Bolyeriidae		Bolyeriidae spp. (II) (Except for the species included in Annex A)		Round Island boas
	<i>Bolyeria multocarinata</i> (I)			Round Island boas
	<i>Casarea dussumieri</i> (I)			Round Island boa
				Round Island keel-scaled boa

	Annex A	Annex B	Annex C	Common name
Colubridae				Typical snakes, water snakes, whip snakes
			<i>Atretium schistosum</i> (III India)	Olive keel-back
			<i>Cerberus rynchops</i> (III India)	Dog-faced water snake
			<i>Clelia clelia</i> (II)	Mussurana
			<i>Cyclagras gigas</i> (II)	False cobra
			<i>Elachistodon westermanni</i> (II)	Indian egg-eating snake
			<i>Ptyas mucosus</i> (II)	Common rat snake
			<i>Xenochrophis piscator</i> (III India)	Checkered keel-back
			<i>Xenochrophis schnurrenbergeri</i> (III India)	
			<i>Xenochrophis tytleri</i> (III India)	
Elapidae				Cobras, coral snakes
			<i>Hoplocephalus bungaroides</i> (II)	Broad-headed snake
			<i>Micrurus diastema</i> (III Honduras)	Atlantic coral snake
			<i>Micrurus nigrocinctus</i> (III Honduras)	Central American coral snake
			<i>Micrurus ruatanus</i> (III Honduras)	
			<i>Naja atra</i> (II)	Chinese spitting cobra
			<i>Naja kaouthia</i> (II)	Monocellate cobra
			<i>Naja mandalayensis</i> (II)	Burmese spitting cobra
			<i>Naja naja</i> (II)	Indian cobra
			<i>Naja oxiana</i> (II)	Central Asian cobra

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	Annex A	Annex B	Annex C	Common name
		<i>Naja philippinensis</i> (II) <i>Naja sagittifera</i> (II) <i>Naja samarensis</i> (II) <i>Naja siamensis</i> (II) <i>Naja sputatrix</i> (II) <i>Naja sumatrana</i> (II) <i>Ophiophagus hannah</i> (II)		North Philippine spitting cobra Andaman cobra South-east Philippine spitting cobra Indochinese spitting cobra South Indonesian spitting cobra Golden spitting cobra King cobra
Loxocemidae		Loxocemidae spp. (II)		Mexican dwarf boa Mexican dwarf boa
Pythonidae	<i>Python molurus molurus</i> (I)	Pythonidae spp. (II) (Except for the subspecies included in Annex A)		Pythons Pythons Indian python
Tropidophiidae		Tropidophiidae spp. (II)		Wood boas Wood boas
Viperidae		<i>Atheris desaixi</i> (II) <i>Bitis worthingtoni</i> (II)	<i>Crotalus durissus</i> (III Honduras) (Except for the subspecies which is listed in Annex B)	Vipers Mt. Kenya bush viper Kenya horned viper Neotropical rattlesnake

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	Annex A	Annex B	Annex C	Common name
	<p><i>Vipera latifii</i></p> <p><i>Vipera ursinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)</p>	<p><i>Crotalus durissus unicolor</i></p> <p><i>Pseudocerastes urarachnoides</i> (II)</p> <p><i>Trimeresurus mangshanensis</i> (II)</p> <p><i>Vipera wagneri</i> (II)</p>	<p><i>Daboia russelii</i> (III India)</p>	<p>Aruba rattlesnake</p> <p>Russell's viper</p> <p>Spider-tailed horned viper</p> <p>Mangshan pit-viper</p> <p>Latifi's viper</p> <p>Orsini's viper</p> <p>Wagner's viper</p>
TESTUDINES				
Carettochelyidae		<p><i>Carettochelys insculpta</i> (II)</p>		<p>Pig-nosed turtles</p> <p>Pig-nosed turtle</p>
Chelidae	<p><i>Pseudemydura umbrina</i> (I)</p>	<p><i>Chelodina mccordi</i> (II) (A zero annual export quota has been established for specimens removed from the wild)</p>		<p>Austro-American sideneck turtles</p> <p>Roti snake-necked turtle</p> <p>Western swamp turtle</p>
Cheloniidae	<p>Cheloniidae spp. (I)</p>			<p>Sea turtles</p> <p>Sea turtles</p>

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	Annex A	Annex B	Annex C	Common name
Chelydridae			<i>Chelydra serpentina</i> (III United States of America) <i>Macrochelys temminckii</i> (III United States of America)	Snapping turtles Alligator snapping turtle
Dermatemydidae		<i>Dermatemys mawii</i> (II)		Central American river turtle Central American river turtle
Dermochelyidae	<i>Dermochelys coriacea</i> (I)			Leatherback turtle Leatherback turtle
Emydidae	<i>Glyptemys muhlenbergii</i> (I)	<i>Chrysemys picta</i> (Only live specimens) <i>Clemmys guttata</i> (II) <i>Emydoidea blandingii</i> (II) <i>Glyptemys insculpta</i> (II) <i>Malaclemys terrapin</i> (II) <i>Terrapene</i> spp. (II) (Except for the species included in Annex A)	<i>Emys orbicularis</i> (III Ukraine) (population of Ukraine) <i>Graptemys</i> spp. (III United States of America)	Box turtles, freshwater turtles Painted turtle Spotted turtle Blanding's turtle European pond turtle Wood turtle Bog turtle Map turtles Diamondback terrapin Box turtles

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	Annex A	Annex B	Annex C	Common name
	<i>Terrapene coahuila</i> (I)			Aquatic box turtle
Geoemydidae	<i>Batagur affinis</i> (I) <i>Batagur baska</i> (I) <i>Batagur borneoensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes) <i>Batagur dhongoka</i> (II) <i>Batagur kachuga</i> (II) <i>Batagur trivittata</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes) <i>Cuora</i> spp. (II) (Except the species included in Annex A, a zero annual export quota has been established for <i>Cuora aurocapitata</i> , <i>C. flavomarginata</i> , <i>C. galbinifrons</i> , <i>C. mccordi</i> , <i>C. mouhotii</i> , <i>C. pani</i> , <i>C. trifasciata</i> , <i>C. yunnanensis</i> and <i>C. zhoui</i> for specimens removed from the wild and traded for commercial purposes) <i>Cuora bourreti</i> (I) <i>Cuora picturata</i> (I)			Southern river terrapin Batagur Asian box turtles Bourret's box turtle Indochinese box turtle

	Annex A	Annex B	Annex C	Common name
	<i>Geoclemys hamiltonii</i> (I)	<i>Cyclemys</i> spp. (II)		Asian leaf turtles
		<i>Geoemyda japonica</i> (II)		Black pond turtle
		<i>Geoemyda spengleri</i> (II)		Ryukyu black-breasted leaf turtle
		<i>Hardella thurjii</i> (II)		Black-breasted leaf turtle
		<i>Heosemys annandalii</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Crowned river turtle
		<i>Heosemys depressa</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Yellow-headed temple turtle
		<i>Heosemys grandis</i> (II)		Arakan forest turtle
		<i>Heosemys spinosa</i> (II)		Giant Asian turtle
		<i>Leucocephalon yuwonoi</i> (II)		Spiny turtle
		<i>Malayemys macrocephala</i> (II)		Sulawesi forest turtle
		<i>Malayemys subtrijuga</i> (II)		Snail-eating turtle
	<i>Mauremys annamensis</i> (I)		<i>Mauremys iversoni</i> (III China)	Ricefield turtle
				Annam pond turtle
				Fujian pond turtle
		<i>Mauremys japonica</i> (II)		Japanese pond turtle

	Annex A	Annex B	Annex C	Common name
			<i>Mauremys megalocephala</i> (III China)	Big-headed pond turtle
		<i>Mauremys mutica</i> (II)		Yellow pond turtle
		<i>Mauremys nigricans</i> (II)		Red-necked pond turtle
			<i>Mauremys pritchardi</i> (III China)	Pritchard's pond turtle
			<i>Mauremys reevesii</i> (III China)	Reeves's turtle
			<i>Mauremys sinensis</i> (III China)	Chinese stripe-necked turtle
	<i>Melanochelys tricarinata</i> (I)			Three-keeled land tortoise
		<i>Melanochelys trijuga</i> (II)		Indian black turtle
	<i>Morenia ocellata</i> (I)			Burmese swamp turtle
		<i>Morenia petersi</i> (II)		Indian eyed turtle
		<i>Notochelys platynota</i> (II)		Malayan flat-shelled turtle
			<i>Ocadia glyphistoma</i> (III China)	Notch-mouthed stripe-necked turtle
			<i>Ocadia philippeni</i> (III China)	Philippen's stripe-necked turtle
		<i>Orlitia borneensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Malayan giant turtle
		<i>Pangshura</i> spp. (II) (Except for the species included in Annex A)		Roofed turtles
	<i>Pangshura tecta</i> (I)			Indian roofed turtle
		<i>Sacalia bealei</i> (II)		Beal's eyed turtle

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	Annex A	Annex B	Annex C	Common name
		<i>Sacalia quadriocellata</i> (II) <i>Siebenrockiella crassicollis</i> (II) <i>Siebenrockiella leyensis</i> (II) <i>Vijayachelys silvatica</i> (II)	<i>Sacalia pseudocellata</i> (III China)	Chinese false-eyed turtle Four-eyed turtle Black marsh turtle Philippine pond turtle Cochin forest cane turtle
Platysternidae	Platysternidae spp. (I)			Big-headed turtles Big-headed turtles
Podocnemididae		<i>Erymnochelys madagascariensis</i> (II) <i>Peltocephalus dumerilianus</i> (II) <i>Podocnemis</i> spp. (II)		Afro-American sideneck turtles Madagascar sideneck turtle Big-headed sideneck turtle Sideneck turtles
Testudinidae	<i>Astrochelys radiata</i> (I) <i>Astrochelys yniphora</i> (I)	Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Centrochelys sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes)		Tortoises Tortoises Radiated tortoise Angonoka

	Annex A	Annex B	Annex C	Common name
	<i>Chelonoidis niger</i> (I) <i>Geochelone elegans</i> (I) <i>Geochelone platynota</i> (I) <i>Gopherus flavomarginatus</i> (I) <i>Malacochersus tornieri</i> (I) <i>Psammobates geometricus</i> (I) <i>Pyxis arachnoides</i> (I) <i>Pyxis planicauda</i> (I) <i>Testudo graeca</i> (II) <i>Testudo hermanni</i> (II) <i>Testudo kleinmanni</i> (I) <i>Testudo marginata</i> (II)			Galapagos giant tortoise Star tortoise Burmese star tortoise Bolson tortoise Pancake tortoise Geometric tortoise Madagascar spider tortoise Madagascar flat-shelled tortoise Spur-thighed tortoise Hermann's tortoise Egyptian tortoise Marginated tortoise
Trionychidae		<i>Amyda cartilaginea</i> (II)	<i>Apalone ferox</i> (III United States of America) <i>Apalone mutica</i> (III United States of America) <i>Apalone spinifera</i> (III United States of America) (except for the subspecies included in Annex A)	Softshell turtles, terrapins Southeast Asian soft-shelled turtle

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	Annex A	Annex B	Annex C	Common name
	<i>Apalone spinifera atra</i> (I)			Cuatro Cienagas soft-shell turtle
		<i>Chitra</i> spp. (II) (Except for the species included in Annex A)		Narrow-headed softshell turtles
	<i>Chitra chitra</i> (I)			Asian narrow-headed softshell
	<i>Chitra vandijki</i> (I)			Burmese narrow-headed softshell
		<i>Cyclanorbis elegans</i> (II)		Nubian flapshelli turtle
		<i>Cyclanorbis senegalensis</i> (II)		Senegal flapshell turtle
		<i>Cycloderma aubryi</i> (II)		Aubrys flapshelli turtle
		<i>Cycloderma frenatum</i> (II)		Zambezi flapshell turtle
		<i>Dogania subplana</i> (II)		Malayan soft-shelled turtle
		<i>Lissemys ceylonensis</i> (II)		Sri Lankan flapshell turtle
		<i>Lissemys punctata</i> (II)		Indo-Gangetic flapshell turtle
		<i>Lissemys scutata</i> (II)		Burmese flapshell turtle
		<i>Nilssonia formosa</i> (II)		Burmese peacock softshell
	<i>Nilssonia gangetica</i> (I)			Indian soft-shell turtle
	<i>Nilssonia hurum</i> (I)			Peacock soft-shell turtle

	Annex A	Annex B	Annex C	Common name
	<i>Nilssonia nigricans</i> (I)	<i>Nilssonia leithii</i> (II) <i>Palea steindachneri</i> (II) <i>Pelochelys</i> spp. (II) <i>Pelodiscus axenaria</i> (II) <i>Pelodiscus maackii</i> (II) <i>Pelodiscus parviformis</i> (II) <i>Rafetus euphraticus</i> (II) <i>Rafetus swinhoei</i> (II) <i>Trionyx triunguis</i> (II)		Leith's softshell turtle Black soft-shell turtle Wattle-necked softshell turtle Giant softshell turtles Hunan softshell turtle Amur softshell turtle Chinese softshell turtle Euphrates softshell turtle Yangtze softshell turtle Nile softshell turtle
AMPHIBIA				Amphibians
ANURA				Frogs and toads
Aromobatidae		<i>Allobates femoralis</i> (II) <i>Allobates hodli</i> (II) <i>Allobates myersi</i> (II) <i>Allobates zaparo</i> (II) <i>Anomaloglossus rufulus</i> (II)		Cryptic forest frogs Brilliant-thighed poison frog Myers' poison frog Sanguine poison frog Chimanta poison frog

	Annex A	Annex B	Annex C	Common name
Bufonidae	<i>Altiphrynoides</i> spp. (I)			Toads
	<i>Amietophrynus channingi</i> (I)			Malcolm’s Ethiopian toad
	<i>Amietophrynus superciliaris</i> (I)			Cameroon toad
	<i>Atelopus zeteki</i> (I)			Golden frog
	<i>Incilius periglenes</i> (I)			Golden toad
	<i>Nectophrynoides</i> spp. (I)			African viviparous toads
	<i>Nimbaphrynoides</i> spp. (I)			Nimba toads
Calyptocephalellidae				
			<i>Calyptocephalella gayi</i> (III Chile)	Chilean helmeted water toad
Conrauidae				Frogs
		<i>Conraua goliath</i>		Goliath frog
Dendrobatidae				Poison frogs
		<i>Adelphobates</i> spp. (II)		
		<i>Ameerega</i> spp. (II)		
		<i>Andinobates</i> spp. (II)		
		<i>Dendrobates</i> spp. (II)		Poison-arrow frogs
		<i>Epipedobates</i> spp. (II)		Poison-arrow frogs
		<i>Excidobates</i> spp. (II)		
		<i>Hyloxalus azureiventris</i> (II)		Sky-blue poison frog

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	Annex A	Annex B	Annex C	Common name
		<i>Minyobates</i> spp. (II) <i>Oophaga</i> spp. (II) <i>Phyllobates</i> spp. (II) <i>Ranitomeya</i> spp. (II)		Demonic poison frogs Poison-arrow frogs
Dicroglossidae		<i>Euphlyctis hexadactylus</i> (II) <i>Hoplobatrachus tigerinus</i> (II)		Frogs Six-fingered frog Tiger frog
Hylidae		<i>Agalychnis annae</i> (II) <i>Agalychnis callidryas</i> (II) <i>Agalychnis moreletii</i> (II) <i>Agalychnis saltator</i> (II) <i>Agalychnis spurrelli</i> (II)		Tree frogs Blue-sided leaf frog Red-eyed tree frog Morelet's leaf frog Misfit leaf frog Gliding leaf frog
Mantellidae		<i>Mantella</i> spp. (II)		Mantella frogs Mantella frogs
Microhylidae		<i>Dyscophus antongilii</i> (II) <i>Dyscophus guineti</i> (II) <i>Dyscophus insularis</i> (II) <i>Scaphiophryne boribory</i> (II) <i>Scaphiophryne gottlebei</i> (II) <i>Scaphiophryne marmorata</i> (II)		Tomato frogs Tomato frog False tomato frog Antsouhy tomato frog Green marbled burrowing frog Red rain frog Green marbled burrowing frog

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	Annex A	Annex B	Annex C	Common name
		<i>Scaphiophryne spinosa</i> (II)		Green marbled burrowing frog
Myobatrachidae		<i>Rheobatrachus</i> spp. (II) (Except for <i>Rheobatrachus silus</i> and <i>Rheobatrachus vitellinus</i> which are not included in the Annexes)		Gastric brooding frogs Gastric brooding frog
Telmatobiidae	<i>Telmatobius culeus</i> (I)			Water frogs Titicaca water frog
CAUDATA				
Ambystomatidae		<i>Ambystoma dumerilii</i> (II) <i>Ambystoma mexicanum</i> (II)		Axolotls Lake Patzcuaro salamander Axolotl
Cryptobranchidae	<i>Andrias</i> spp. (I)		<i>Cryptobranchus alleganiensis</i> (III United States of America)	Giant salamanders Giant salamanders Hellbender
Hynobiidae			<i>Hynobius amjiensis</i> (III China)	Asiatic salamanders
Salamandridae		<i>Echinotriton chinhaiensis</i> (II)	<i>Echinotriton andersoni</i> #18 (III Japan)	Salamanders and newts Anderson's Newt Chinhai spiny newt

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	Annex A	Annex B	Annex C	Common name
	<i>Neurergus kaiseri</i> (I)	<i>Echinotriton maxiquadratus</i> (II) <i>Paramesotriton</i> spp. (II) <i>Tylototriton</i> spp. (II)	<i>Salamandra algira</i> (III Algeria)	Mountain spiny newt Kaiser's spotted newt Asian warty newts Crocodile newts
ELASMOBRANCHII				Sharks and rays
CARCHARHINIFORMES				
Carcharhinidae		<i>Carcharhinus falciformis</i> (II) <i>Carcharhinus longimanus</i> (II)		Requiem sharks Silky shark Oceanic whitetip shark
Sphyrnidae		<i>Sphyrna lewini</i> (II) <i>Sphyrna mokarran</i> (II) <i>Sphyrna zygaena</i> (II)		Hammerhead sharks Scalloped hammerhead shark Great hammerhead shark Smooth hammerhead shark
LAMNIFORMES				
Alopiidae		<i>Alopias</i> spp. (II)		Thresher sharks Thresher sharks
Cetorhinidae		<i>Cetorhinus maximus</i> (II)		Basking sharks Basking shark

	Annex A	Annex B	Annex C	Common name
Lamnidae		<i>Carcharodon carcharias</i> (II) <i>Isurus oxyrinchus</i> (II) <i>Isurus paucus</i> (II) <i>Lamna nasus</i> (II)		Mackerel sharks Great white shark Shortfin mako Longfin mako Porbeagle
MYLIOBATIFORMES				
Myliobatidae		<i>Manta</i> spp. (II) <i>Mobula</i> spp. (II)		Manta rays Devil rays
Potamotrygonidae			<i>Paratrygon aiereba</i> (III Colombia) <i>Potamotrygon</i> spp. (III Brazil) (population of Brazil) <i>Potamotrygon constellata</i> (III Colombia) <i>Potamotrygon magdalenae</i> (III Colombia) <i>Potamotrygon motoro</i> (III Colombia) <i>Potamotrygon orbignyi</i> (III Colombia) <i>Potamotrygon schroederi</i> (III Colombia)	Freshwater stingrays Discus ray Thorny river stingray Magdalena river stingray Ocellate river stingray Smooth back river stingray Rosette river stingray

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	Annex A	Annex B	Annex C	Common name
			<i>Potamotrygon scobina</i> (III Colombia)	Raspy river stingray
			<i>Potamotrygon yepezi</i> (III Colombia)	Maracaibo river stingray
ORECTOLOBIFORMES				
Rhincodontidae				Whale sharks
		<i>Rhincodon typus</i> (II)		Whale shark
RHINOPRISTIFORMES				
Pristidae				Sawfishes
	Pristidae spp. (I)			Sawfishes
Glaucostegidae				Giant guitarfishes
		<i>Glaucostegus</i> spp. (II)		Giant guitarfish
Rhinidae				Wedgefishes
		Rhinidae spp. (II)		Wedgefishes
ACTINOPTERI				Fish
ACIPENSERIFORMES				
		ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)		Sturgeons and paddlefish
Acipenseridae				Sturgeons
	<i>Acipenser brevirostrum</i> (I)			Shortnose sturgeon

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	Annex A	Annex B	Annex C	Common name
	<i>Acipenser sturio</i> (I)			Common sturgeon
ANGUILLIFORMES				
Anguillidae		<i>Anguilla anguilla</i> (II)		Freshwater eels European eel
CYPRINIFORMES				
Catostomidae	<i>Chasmistes cujus</i> (I)			Cui-ui Cui-ui
Cyprinidae				Blind carps, placesok
	<i>Probarbus jullieni</i> (I)	<i>Caecobarbus geertsii</i> (II)		African blind barb fish Ikan temoleh
OSTEOGLOSSIFORMES				
Arapaimidae		<i>Arapaima gigas</i> (II)		Arapaimas, bonytongues Arapaima
Osteoglossidae	<i>Scleropages formosus</i> (I) <i>Scleropages inscriptus</i> (I)			Bonytongues Asian arowana
PERCIFORMES				
Labridae		<i>Cheilinus undulatus</i> (II)		Wrasses Humphead wrasse

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	Annex A	Annex B	Annex C	Common name
Pomacanthidae		<i>Holacanthus clarionensis</i> (II)		Clarion angelfish
Sciaenidae	<i>Totoaba macdonaldi</i> (I)			Totoabas Totoaba
SILURIFORMES				
Pangasiidae	<i>Pangasianodon gigas</i> (I)			Pangasid catfish Giant catfish
Loricariidae				Armoured catfishes
			<i>Hypancistrus zebra</i> (III Brazil)	
SYNGNATHIFORMES				
Syngnathidae		<i>Hippocampus</i> spp. (II)		Pipefishes, seahorses Seahorses
DIPNEUSTI				Lungfishes
CERATODONTIFORMES				
Neoceratodontidae		<i>Neoceratodus forsteri</i> (II)		Australian lungfishes Australian lungfish
COELACANTHI				Coelacanths
COELACANTHIFORMES				
Latimeriidae	<i>Latimeria</i> spp. (I)			Coelacanths Coelacanths

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	Annex A	Annex B	Annex C	Common name
ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS)				
HOLOTHUROIDEA				Sea cucumbers
ASPIDOCHIROTIDA				
Stichopodidae			<i>Isostichopus fuscus</i> (III Ecuador)	Sea cucumbers Brown sea cucumber
HOLOTHURIIDA				
Holothuriidae		<i>Holothuria fuscogilva</i> (II) <i>Holothuria nobilis</i> (II) (<i>Holothuria whitmaei</i> (II)		Teatfishes, sea cucumbers Teatfish
ARTHROPODA (ARTHROPODS)				
ARACHNIDA				Spiders and scorpions
ARANEAE				
Theraphosidae		<i>Aphonopelma albiceps</i> (II) <i>Aphonopelma pallidum</i> (II) <i>Brachypelma</i> spp. (II) <i>Poecilotheria</i> spp. (II)		Red-kneed tarantulas, tarantulas Chihuahua rose-grey tarantula Central American tarantulas Ornamental spiders

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	Annex A	Annex B	Annex C	Common name
SCORPIONES				
Scorpionidae		<i>Pandinus camerounensis</i> (II) <i>Pandinus dictator</i> (II) <i>Pandinus gambiensis</i> (II) <i>Pandinus imperator</i> (II) <i>Pandinus roeseli</i> (II)		Scorpions Giant Senegalese scorpion Emperor scorpion
INSECTA				Insects
COLEOPTERA				Beetles
Lucanidae			<i>Colophon</i> spp. (III South Africa)	Stag beetles Cape stag beetles
Scarabaeidae		<i>Dynastes satanas</i> (II)		Scarab beetles Satanas beetle
LEPIDOPTERA				Butterflies
Nymphalidae			<i>Agrias amydon boliviensis</i> (III Bolivia) <i>Morpho godartii lachaumei</i> (III Bolivia) <i>Prepona praeneste buckleyana</i> (III Bolivia)	

	Annex A	Annex B	Annex C	Common name
Papilionidae				Birdwing and swallowtail butterflies
	<i>Achillides chikae chikae</i> (I)			Luzon peacock swallowtail
	<i>Achillides chikae hermeli</i> (I)			Mindoro peacock swallowtail
		<i>Atrophaneura jophon</i> (II)		Sri Lankan rose
		<i>Atrophaneura palu</i>		Palu swallowtail butterfly
		<i>Atrophaneura pandiyana</i> (II)		Malabar rose
		<i>Bhutanitis</i> spp. (II)		Swallowtail butterflies
		<i>Graphium sandawanum</i>		Apo swallowtail butterfly
		<i>Graphium stresemani</i>		Seram swallowtail
		<i>Ornithoptera</i> spp. (II) (except for the species included in Annex A)		Birdwing butterflies
	<i>Ornithoptera alexandrae</i> (I)			Queen Alexandra's birdwing
		<i>Papilio benguetanus</i>		
		<i>Papilio esperanza</i>		
	<i>Papilio homerus</i> (I)			Homerus swallowtail
	<i>Papilio hospiton</i> (II)			Corsican swallowtail
		<i>Papilio morondavana</i>		Madagascan emperor swallowtail
		<i>Papilio neumoegeni</i>		
		<i>Parides ascanius</i>		Fluminense swallowtail butterfly

	Annex A	Annex B	Annex C	Common name
	<i>Parides burchellanus</i> (I) <i>Parnassius apollo</i> (II)	<i>Parides hahneli</i> <i>Teinopalpus</i> spp. (II) <i>Trogonoptera</i> spp. (II) <i>Troides</i> spp. (II)		Hahnel's amazonian swallowtail butterfly Riverside swallowtail Mountain apollo Kaiser-I-Hind butterflies Birdwing butterflies Birdwing butterflies
ANNELIDA (SEGMENTED WORMS AND LEECHES)				
HIRUDINOIDEA ARHYNCHOBDELLIDA Hirudinidae		<i>Hirudo medicinalis</i> (II) <i>Hirudo verbana</i> (II)		Leeches Leeches Northern medicinal leech Southern medicinal leech
MOLLUSCA (MOLLUSCS)				
BIVALVIA				Bivalve molluscs (clams, mussels etc.)
MYTILOIDA Mytilidae		<i>Lithophaga lithophaga</i> (II)		Marine mussels European date mussel
UNIONOIDA Unionidae	<i>Conradilla caelata</i> (I)			Freshwater mussels, pearly mussels Birdwing pearly mussel

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	Annex A	Annex B	Annex C	Common name
		<i>Cyprogenia aberti</i> (II)		Western fanshell mussel
	<i>Dromus dromas</i> (I)			Dromedary pearly mussel
	<i>Epioblasma curtisii</i> (I)			Curtis' pearly mussel
	<i>Epioblasma florentina</i> (I)			Yellow-blossom pearly mussel
	<i>Epioblasma sampsonii</i> (I)			Wabash riffleshell
	<i>Epioblasma sulcata perobliqua</i> (I)			White catspaw mussel
	<i>Epioblasma torulosa gubernaculum</i> (I)			Green-blossom pearly mussel
		<i>Epioblasma torulosa rangiana</i> (II)		Northern riffleshell
	<i>Epioblasma torulosa torulosa</i> (I)			Turbercle-blossom pearly mussel
	<i>Epioblasma turgidula</i> (I)			Turgid-blossom pearly mussel
	<i>Epioblasma walkeri</i> (I)			Tan riffleshell
	<i>Fusconaia cuneolus</i> (I)			Fine-rayed pigtoe pearly mussel
	<i>Fusconaia edgariana</i> (I)			Shiny pigtoe pearly mussel
	<i>Lampsilis higginsii</i> (I)			Higgins' eye pearly mussel
	<i>Lampsilis orbiculata orbiculata</i> (I)			Pink mucket pearly mussel
	<i>Lampsilis satur</i> (I)			Sandback pocketbook mussel
	<i>Lampsilis virescens</i> (I)			Alabama lamp pearly mussel
	<i>Plethobasus cicatricosus</i> (I)			White warty-back pearly mussel
	<i>Plethobasus cooperianus</i> (I)			Orange-footed pimpleback mussel

	Annex A	Annex B	Annex C	Common name
	<i>Pleurobema plenum</i> (I) <i>Potamilus capax</i> (I) <i>Quadrula intermedia</i> (I) <i>Quadrula sparsa</i> (I) <i>Toxolasma cylindrella</i> (I) <i>Unio nickliniana</i> (I) <i>Unio tampicoensis tecomatensis</i> (I) <i>Villosa trabalis</i> (I)	<i>Pleurobema clava</i> (II)		Clubshell pearly mussel Rough pigtoe pearly mussel Fat pocketbook pearly mussel Cumberland monkey-face pearly mussel Appalachian monkey-face pearly mussel Pale lilliput pearly mussel Nicklin's pearly mussel Tampico pearly mussel Cumberland bean pearly mussel
VENEROIDA Tridacnidae		Tridacnidae spp. (II)		Giant clams Giant clams
CEPHALOPODA NAUTILIDA Nautilidae		Nautilidae spp. (II)		Nautilus Nautilus
GASTROPODA				Slugs, snails and conches
MESOGASTROPODA Strombidae		<i>Strombus gigas</i> (II)		Conches Queen conch

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	Annex A	Annex B	Annex C	Common name
STYLOMMATOPHORA				
Achatinellidae	<i>Achatinella</i> spp. (I)			Agate snails, oahu tree snails Little agate shells
Camaenidae		<i>Papustyla pulcherrima</i> (II)		Green tree snail Manus green tree snail
Cepolidae	<i>Polymita</i> spp. (I)			Cuban landsnails
CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)				
ANTHOZOA				Corals, sea anemones
ANTIPATHARIA		ANTIPATHARIA spp. (II)		Black corals
GORGONACEAE				
Coralliidae			<i>Corallium elatius</i> (III China) <i>Corallium japonicum</i> (III China) <i>Corallium konjoi</i> (III China) <i>Corallium secundum</i> (III China)	Red and pink corals

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	Annex A	Annex B	Annex C	Common name
HELIOPORACEA				
Helioporidae		Helioporidae spp. (II) (Includes only the species <i>Heliopora coerulea</i>) ⁽⁴⁾		Blue coral Blue coral
SCLERACTINIA		SCLERACTINIA spp. (II) ⁽⁴⁾		Stony corals
STOLONIFERA				
Tubiporidae		Tubiporidae spp. (II) ⁽⁴⁾		Organpipe corals Organpipe corals
HYDROZOA				Sea ferns, fire corals, stinging medusas
MILLEPORINA				
Milleporidae		Milleporidae spp. (II) ⁽⁴⁾		Wello fire corals Wello fire corals
STYLASTERINA				
Stylasteridae		Stylasteridae spp. (II) ⁽⁴⁾		Lace corals Lace corals
FLORA				
AGAVACEAE	<i>Agave parviflora</i> (I)	<i>Agave victoriae-reginae</i> (II) #4 <i>Nolina interrata</i> (II)		Agaves Santa Cruz striped agave Queen Victoria agave Dehesa bear-grass

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	Annex A	Annex B	Annex C	Common name
		<i>Yucca queretaroensis</i> (II)		Queretaro yucca
AMARYLLIDACEAE		<i>Galanthus</i> spp. (II) #4 <i>Sternbergia</i> spp. (II) #4		Amaryllids Snowdrops Sternbergias
ANACARDIACEAE		<i>Operculicarya decaryi</i> (II) <i>Operculicarya hyphaenoides</i> (II) <i>Operculicarya pachypus</i> (II)		Jabihy Jabihy Tabily
APOCYNACEAE	<i>Pachypodium ambongense</i> (I) <i>Pachypodium baronii</i> (I) <i>Pachypodium decaryi</i> (I)	<i>Hoodia</i> spp. (II) #9 <i>Pachypodium</i> spp. (II) (Except for the species included in Annex A) #4 <i>Rauvolfia serpentina</i> (II) #2		Hoodia Elephant trunks Snake-root devil-pepper
ARALIACEAE		<i>Panax ginseng</i> (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3 <i>Panax quinquefolius</i> (II) #3		Aralias Asian ginseng American ginseng

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	Annex A	Annex B	Annex C	Common name
ARAUCARIACEAE	<i>Araucaria araucana</i> (I)			Araucarias Monkey-puzzle tree
ASPARAGACEAE		<i>Beaucarnea</i> spp. (II)		Ponytail palm
BERBERIDACEAE		<i>Podophyllum hexandrum</i> (II) #2		Barberries Himalayan may-apple
BROMELIACEAE		<i>Tillandsia harrisii</i> (II) #4 <i>Tillandsia kammii</i> (II) #4 <i>Tillandsia xerographica</i> (II) ⁽⁵⁾ #4		Air plants, bromelias Harris' tillandsia Kamm's tillandsia Xerographic tillandsia
CACTACEAE	<i>Ariocarpus</i> spp. (I) <i>Astrophytum asterias</i> (I) <i>Aztekium ritteri</i> (I) <i>Coryphantha werdermannii</i> (I) <i>Discocactus</i> spp. (I) <i>Echinocereus ferrierianus</i> ssp. <i>lindsayorum</i> (I)	CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskopsis</i> spp. and <i>Quiabentia</i> spp.) ⁽⁶⁾ #4		Cacti Cacti Living rock cacti Star cactus Aztec cactus Jobali pincushion cactus Discocacti Lindsay's hedgehog cacti

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	Annex A	Annex B	Annex C	Common name
	<i>Echinocereus schmollii</i> (I)			Lamb's-tail cactus
	<i>Escobaria minima</i> (I)			Nelle's cactus
	<i>Escobaria sneedii</i> (I)			Sneed's pincushion cactus
	<i>Mammillaria pectinifera</i> (I) (includes ssp. <i>solisioides</i>)			Conchilinke
	<i>Melocactus conoideus</i> (I)			Conelike Turk's-cap cactus
	<i>Melocactus deinacanthus</i> (I)			Wonderfully-bristled Turk's cap cactus
	<i>Melocactus glaucescens</i> (I)			Woolly waxy-stemmed Turk's-cap cactus
	<i>Melocactus paucispinus</i> (I)			Few-spined Turk's-cap cactus
	<i>Obregonia denegrii</i> (I)			Artichoke cactus
	<i>Pachycereus militaris</i> (I)			Grenadier's cap
	<i>Pediocactus bradyi</i> (I)			Brady's pincushion cactus
	<i>Pediocactus knowltonii</i> (I)			Knowlton's cactus
	<i>Pediocactus paradinei</i> (I)			Houserock valley cactus
	<i>Pediocactus peeblesianus</i> (I)			Peebles's Navajo cactus
	<i>Pediocactus sileri</i> (I)			Siler's pincushion cactus
	<i>Pelecypora</i> spp. (I)			Pine cane cactus
	<i>Sclerocactus blainei</i> (I)			Blaine's fishhook cactus
	<i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (I)			Tobusch fishhook cactus
	<i>Sclerocactus brevispinus</i> (I)			Pariette cactus

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	Annex A	Annex B	Annex C	Common name
	<i>Sclerocactus cloverae</i> (I) <i>Sclerocactus erectocentrus</i> (I) <i>Sclerocactus glaucus</i> (I) <i>Sclerocactus mariposensis</i> (I) <i>Sclerocactus mesae-verdae</i> (I) <i>Sclerocactus nyensis</i> (I) <i>Sclerocactus papyracanthus</i> (I) <i>Sclerocactus pubispinus</i> (I) <i>Sclerocactus sileri</i> (I) <i>Sclerocactus wetlandicus</i> (I) <i>Sclerocactus wrightiae</i> (I) <i>Strombocactus</i> spp. (I) <i>Turbinicarpus</i> spp. (I) <i>Uebelmannia</i> spp. (I)			New Mexico fishhook cactus Needle-spined pineapple cactus Colorado hookless cactus Mariposa cactus Mesa Verde cactus Tonopah fishhook cactus Grama-grass cactus Great-Basin fishhook cactus Siler's fishhook cactus Unita Basin hookless cactus Wright's fishhook cactus Peyote Turbinicarps Uebelmann cacti
CARYOCARACEAE		<i>Caryocar costaricense</i> (II) #4		Ajos Ajillo
COMPOSITAE (ASTERACEAE)	<i>Saussurea costus</i> (I) (also known as <i>S. lappa</i> , <i>Aucklandia lappa</i> or <i>A. costus</i>)			Asters, daisies, costus Costus

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	Annex A	Annex B	Annex C	Common name
CUCURBITACEAE		<i>Zygosicyos pubescens</i> (II) (also known as <i>Xerosicyos pubescens</i>) <i>Zygosicyos tripartitus</i> (II)		Tobory Betoboky
CUPRESSACEAE	<i>Fitzroya cupressoides</i> (I) <i>Pilgerodendron uviferum</i> (I)	<i>Widdringtonia whytei</i> (II)		Cypresses Alerce Pilgerodendron Mulanje cedar
CYATHEACEAE		<i>Cyathea</i> spp. (II) #4		Tree ferns Tree ferns
CYCADACEAE	<i>Cycas beddomei</i> (I)	CYCADACEAE spp. (II) (Except for the species included in Annex A) #4		Cycads Cycads Beddome's cycad
DICKSONIACEAE		<i>Cibotium barometz</i> (II) #4 <i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation. This includes the synonyms <i>Dicksonia berteriana</i> , <i>D. externa</i> , <i>D. sellowiana</i> and <i>D. stuebelii</i>) #4		Tree ferns Tree ferns

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	Annex A	Annex B	Annex C	Common name
DIDIEREACEAE		DIDIEREACEAE spp. (II) #4		Didiereas Alluaudias, didiereas
DIOSCOREACEAE		<i>Dioscorea deltoidea</i> (II) #4		Yams Elephant's foot
DROSERACEAE		<i>Dionaea muscipula</i> (II) #4		Sundews Venus fly-trap
EBENACEAE		<i>Diospyros</i> spp. (II) (Only the populations of Madagascar; no other population is included in the Annexes to this Regulation) #5		Ebonies
EUPHORBIACEAE		<i>Euphorbia</i> spp. (II) #4 (Succulent species only except for: (1) <i>Euphorbia misera</i> ; (2) artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> ; (3) artificially propagated specimens of <i>Euphorbia lactea</i> grafted on artificially propagated root stock of <i>Euphorbia nerifolia</i> , when they are: — crested, or — fan-shaped, or — colour mutants;		Spurges Euphorbias

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	Annex A	Annex B	Annex C	Common name
	<p><i>Euphorbia ambovombensis</i> (I)</p> <p><i>Euphorbia capsaintemariensis</i> (I)</p> <p><i>Euphorbia cremersii</i> (I) (Includes the forma <i>viridifolia</i> and the var. <i>rakotozafyi</i>)</p> <p><i>Euphorbia cylindrifolia</i> (I) (Includes the ssp. <i>tuberifera</i>)</p> <p><i>Euphorbia decaryi</i> (I) (Includes the vars. <i>ampanihyensis</i>, <i>robinsonii</i> and <i>spri-rosticha</i>)</p> <p><i>Euphorbia francoisii</i> (I)</p> <p><i>Euphorbia handiensis</i> (II)</p> <p><i>Euphorbia lambii</i> (II)</p> <p><i>Euphorbia moratii</i> (I) (Includes the vars. <i>antsingensis</i>, <i>bemarahensis</i> and <i>multiflora</i>)</p> <p><i>Euphorbia parvicyathophora</i> (I)</p> <p><i>Euphorbia quartziticola</i> (I)</p>	<p>(4) artificially propagated specimens of cultivars of <i>Euphorbia</i> ‘Mili’ when they are:</p> <ul style="list-style-type: none"> — readily recognisable as artificially propagated specimens, and — introduced into or (re-)exported from the Union in shipments of 100 or more plants; which are not subject to this Regulation, and <p>(5) the species included in Annex A)</p>		

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	Annex A	Annex B	Annex C	Common name
	<i>Euphorbia stygiana</i> (II) <i>Euphorbia tulearensis</i> (I)			
FAGACEAE			<i>Quercus mongolica</i> (III Russian Federation) #5	Beeches, oaks Mongolian oak
FOUQUIERIACEAE	<i>Fouquieria fasciculata</i> (I) <i>Fouquieria purpusii</i> (I)	<i>Fouquieria columnaris</i> (II) #4		Ocotillos, boojums Boojum tree Arbol del barril
GNETACEAE			<i>Gnetum montanum</i> (III Nepal) #1	Joint firs
JUGLANDACEAE		<i>Oreomunnea pterocarpa</i> (II) #4		Walnuts, gabilan Gavilàn
LAURACEAE		<i>Aniba rosaeodora</i> (II) (also known as <i>A. duckei</i>) #12		Brazilian rosewood
LEGUMINOSAE (FABACEAE)	<i>Dalbergia nigra</i> (I)	<i>Dalbergia</i> spp. (II) (Except for the species included in Annex A) #15	<i>Dipteryx panamensis</i> (III Costa Rica / Nicaragua)	Legumes Brazilian rosewood Almendo

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	Annex A	Annex B	Annex C	Common name
		<i>Guibourtia demeusei</i> (II) #15 <i>Guibourtia pellegriniana</i> (II) #15 <i>Guibourtia tessmannii</i> (II) #15 <i>Paubrasilia echinata</i> (II) #10 <i>Pericopsis elata</i> (II) #17 <i>Platymiscium parviflorum</i> (II) #4 <i>Pterocarpus erinaceus</i> (II) <i>Pterocarpus santalinus</i> (II) #7 <i>Pterocarpus tinctorius</i> (II) #6 <i>Senna meridionalis</i> (II)		Red bubinga Rose bubinga, kevazingo Rose bubinga, kevazingo Brazil wood Afromosia Quira macawood African rosewood, Senegalese rosewood, kosso Red sandalwood African padauk Taraby
LILIACEAE	<i>Aloe albida</i> (I) <i>Aloe albiflora</i> (I) <i>Aloe alfredii</i> (I) <i>Aloe bakeri</i> (I) <i>Aloe bellatula</i> (I) <i>Aloe calcairophila</i> (I)	<i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i> , also known as <i>Aloe barbadensis</i> , which is not included in the Annexes) #4		Lilies Aloes

	Annex A	Annex B	Annex C	Common name
	<i>Aloe compressa</i> (I) (Includes the vars. <i>paucituberculata</i> , <i>rugosquamosa</i> and <i>schistophila</i>) <i>Aloe delphinensis</i> (I) <i>Aloe descoingsii</i> (I) <i>Aloe fragilis</i> (I) <i>Aloe haworthioides</i> (I) (Includes the var. <i>aurantiaca</i>) <i>Aloe helenae</i> (I) <i>Aloe laeta</i> (I) (Includes the var. <i>mani-aensis</i>) <i>Aloe parallelifolia</i> (I) <i>Aloe parvula</i> (I) <i>Aloe pillansii</i> (I) <i>Aloe polyphylla</i> (I) <i>Aloe rauhii</i> (I) <i>Aloe suzannae</i> (I) <i>Aloe versicolor</i> (I) <i>Aloe vossii</i> (I)			
MAGNOLIACEAE			<i>Magnolia liliifera</i> var. <i>obovata</i> (III Nepal) #1	Magnolias Safan

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	Annex A	Annex B	Annex C	Common name
MALVACEAE		<i>Adansonia grandidieri</i> (II) #16		Grandidier's baobab
MELIACEAE		<i>Cedrela</i> spp. (II) #6 (Populations of the Neotropics) <i>Swietenia humilis</i> (II) #4 <i>Swietenia macrophylla</i> (II) (Population of the Neotropics - includes Central and South America and the Caribbean) #6 <i>Swietenia mahagoni</i> (II) #5		Mahoganies, cedars Spanish cedar Honduras mahogany Big-leaf mahogany Caribbean mahogany
NEPENTHACEAE	<i>Nepenthes khasiana</i> (I) <i>Nepenthes rajah</i> (I)	<i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #4		Pitcher plants (old-world) Tropical pitcher plants Indian pitcher plant Giant tropical pitcher plant
OLEACEAE			<i>Fraxinus mandshurica</i> (III Russian Federation) #5	Olives, ashes Manchurian ash

	Annex A	Annex B	Annex C	Common name
ORCHIDACEAE	<p>For all of the following Annex A orchid species, seedling or tissue cultures are not subject to this Regulation, when:</p> <ul style="list-style-type: none">— they are obtained <i>in vitro</i>, in solid or liquid media, and— meet the definition of ‘artificially propagated’ in accordance with Article 56 of Commission Regulation (EC) No 865/2006 ⁽⁸⁾, and— when introduced into or (re-)exported from the Union are transported in sterile containers <p><i>Aerangis ellisii</i> (I)</p> <p><i>Cattleya jongheana</i> (I)</p> <p><i>Cattleya lobata</i> (I)</p> <p><i>Cephalanthera cucullata</i> (II)</p> <p><i>Cypripedium calceolus</i> (II)</p> <p><i>Dendrobium cruentum</i> (I)</p> <p><i>Goodyera macrophylla</i> (II)</p> <p><i>Liparis loeselii</i> (II)</p> <p><i>Mexipedium xerophyticum</i> (I)</p> <p><i>Ophrys argolica</i> (II)</p>	<p>ORCHIDACEAE spp. (II) (Except for the species included in Annex A) ⁽⁷⁾ #4</p>		<p>Orchids</p> <p>Orchids</p> <p>Hooded helleborine</p> <p>Lady's slipper orchid</p> <p>Madeiran lady's-tresses</p> <p>Fen orchid</p> <p>Eyed bee orchid</p>

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	Annex A	Annex B	Annex C	Common name
	<i>Ophrys lunulata</i> (II) <i>Orchis scopulorum</i> (II) <i>Paphiopedilum</i> spp. (I) <i>Peristeria elata</i> (I) <i>Phragmipedium</i> spp. (I) <i>Renanthera imschootiana</i> (I) <i>Spiranthes aestivalis</i> (II)			Crescent ophrys Madeiran orchid Asian slipper orchids Holy ghost orchid South American slipper orchids Red vanda Summer lady's-tresses
OROBANCHACEAE		<i>Cistanche deserticola</i> (II) #4		Broomrapes Desert cistanche
PALMAE (ARECACEAE)	<i>Dypsis decipiens</i> (I)	<i>Beccariophoenix madagascariensis</i> (II) #4 <i>Dypsis decaryi</i> (II) #4 <i>Lemurophoenix halleuxii</i> (II) <i>Marojejya darianii</i> (II) <i>Ravenea louvelii</i> (II) <i>Ravenea rivularis</i> (II) <i>Satranala decussilvae</i> (II) <i>Voanioala gerardii</i> (II)	<i>Lodoicea maldivica</i> (III Seychelles) #13	Palms Manarano Triangle palm Butterfly palm Hovitra varimena Coco de Mer Ravimbe Lakamarefo Gora Satranabe Voanioala

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	Annex A	Annex B	Annex C	Common name
PAPAVERACEAE			<i>Meconopsis regia</i> (III Nepal) #1	Poppies Himalayan poppy
PASSIFLORACEAE		<i>Adenia firingalavensis</i> (II) <i>Adenia olaboensis</i> (II) <i>Adenia subsessilifolia</i> (II)		Bottle liana Vahisasety Katakata
PEDALIACEAE		<i>Uncarina grandidieri</i> (II) <i>Uncarina stellulifera</i> (II)		Pedalium family Uncarina Uncarina
PINACEAE	<i>Abies guatemalensis</i> (I)		<i>Pinus koraiensis</i> (III Russian Federation) #5	Pine family Guatemalan fir
PODOCARPACEAE	<i>Podocarpus parlatorei</i> (I)		<i>Podocarpus neriifolius</i> (III Nepal) #1	Podocarps Yellow wood Parlatore's podocarp
PORTULACACEAE		<i>Anacampseros</i> spp. (II) #4 <i>Avonia</i> spp. (II) #4 <i>Lewisia serrata</i> (II) #4		Portulacas, purslanes Purslanes Saw-toothed lewisia

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	Annex A	Annex B	Annex C	Common name
PRIMULACEAE		<i>Cyclamen</i> spp. (II) ⁽⁹⁾ #4		Primulas, cyclamens Cyclamens
RANUNCULACEAE		<i>Adonis vernalis</i> (II) #2 <i>Hydrastis canadensis</i> (II) #8		Buttercups Yellow adonis Golden seal
ROSACEAE		<i>Prunus africana</i> (II) #4		Roses, cherries African cherry
RUBIACEAE	<i>Balmea stormiae</i> (I)			Ayugue Ayugue
SANTALACEAE		<i>Osyris lanceolata</i> (II) (Only the populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda and the United Republic of Tanzania; no other population is included in the Annexes) #2		East African sandalwood
SARRACENIACEAE	<i>Sarracenia oreophila</i> (I) <i>Sarracenia rubra</i> ssp. <i>alabamensis</i> (I) <i>Sarracenia rubra</i> ssp. <i>jonesii</i> (I)	<i>Sarracenia</i> spp. (II) (Except for the species included in Annex A) #4		Pitcher plants (new world) Pitcher plants Green pitcher plant Alabama canebrake pitcher plant Mountain sweet pitcher plant

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	Annex A	Annex B	Annex C	Common name
SCROPHULARIACEAE		<i>Picrorhiza kurroo</i> (II) (excludes <i>Picrorhiza scrophulariiflora</i>) #2		Figworts Indian gentian
STANGERIACEAE	<i>Stangeria eriopus</i> (I)	<i>Bowenia</i> spp. (II) #4		Stangerias (cycads) Cycads Stangeria
TAXACEAE		<i>Taxus chinensis</i> and infraspecific taxa of this species (II) #2 <i>Taxus cuspidata</i> and infraspecific taxa of this species (II) ⁽¹⁰⁾ #2 <i>Taxus fuana</i> and infraspecific taxa of this species (II) #2 <i>Taxus sumatrana</i> and infraspecific taxa of this species (II) #2 <i>Taxus wallichiana</i> (II) #2		Yews Chinese yew Japanese yew Tibetan yew Sumatran yew Himalayan yew
THYMELAEACEAE (AQUILARIACEAE)		<i>Aquilaria</i> spp. (II) #14 <i>Gonystylus</i> spp. (II) #4 <i>Gyrinops</i> spp. (II) #14		Agarwood, ramin Agarwood Ramin Agarwood

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	Annex A	Annex B	Annex C	Common name
TROCHODENDRACEAE (TETRACENTRACEAE)			<i>Tetracentron sinense</i> (III Nepal) #1	Tetracentrons
VALERIANACEAE		<i>Nardostachys grandiflora</i> (II) #2		Valerians
VITACEAE		<i>Cyphostemma elephantopus</i> (II) <i>Cyphostemma laza</i> (II) <i>Cyphostemma montagnacii</i> (II)		Lazampasika Laza Lazambohitra
WELWITSCHIACEAE		<i>Welwitschia mirabilis</i> (II) #4		Welwitschias Welwitschia
ZAMIACEAE	<i>Ceratozamia</i> spp. (I) <i>Encephalartos</i> spp. (I) <i>Microcycas calocoma</i> (I) <i>Zamia restrepoi</i> (I)	ZAMIACEAE spp. (II) (Except for the species included in Annex A) #4		Cycads Cycads Horncones Bread palms Palm corcho
ZINGIBERACEAE		<i>Hedychium philippinense</i> (II) #4		Ginger lilies Philippine garland-flower

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	Annex A	Annex B	Annex C	Common name
		<i>Siphonochilus aethiopicus</i> (II) (Populations of Mozambique, Eswatini, South Africa and Zimbabwe)		Natal ginger
ZYGOPHYLLACEAE		<i>Bulnesia sarmientoi</i> (II) #11 <i>Guaiacum</i> spp. (II) #2		Lignum-vitae Holy wood Lignum-vitae

(¹) For the exclusive purpose of allowing international trade in fibre from vicuñas (*Vicugna vicugna*) and their derivative products, only if the fibre comes from the shearing of live vicuñas. Trade in products derived from the fibre may only take place in accordance with the following provisions:

- a) Any person or entity processing vicuña fibre to manufacture cloth and garments must request authorization from the relevant authorities of the country of origin [Countries of origin: The countries where the species occurs, that is, Argentina, Bolivia, Chile, Ecuador and Peru] to use the 'vicuña country of origin' wording, mark or logo adopted by the range States of the species that are signatories to the Convention for the Conservation and Management of the Vicuña.
- b) Marketed cloth or garments must be marked or identified in accordance with the following provisions:
 - i) For international trade in cloth made from live-sheared vicuña fibre, whether the cloth was produced within or outside of the range States of the species, the wording, mark or logo must be used so that the country of origin can be identified. The VICUÑA [COUNTRY OF ORIGIN] wording, mark or logo has the format as detailed below:



This wording, mark or logo must appear on the reverse side of the cloth. In addition, the selvages of the cloth must bear the words VICUÑA [COUNTRY OF ORIGIN].

- ii) For international trade in garments made from live-sheared vicuña fibre, whether the garments were produced within or outside of the range States of the species, the wording, mark or logo indicated in paragraph b) i) must be used. This wording, mark or logo must appear on a label in the garment itself. If the garments are produced outside of the country of origin, the name of the country where the garment was produced should also be indicated, in addition to the wording, mark or logo referred to in paragraph b) i).
- c) For international trade in handicraft products made from live-sheared vicuña fibre produced within the range States of the species, the VICUÑA [COUNTRY OF ORIGIN] - ARTESANÍA wording, mark or logo must be used as detailed below:



- d) If live-sheared vicuña fibre from various countries of origin is used for the production of cloth and garments, the wording, mark or logo of each of the countries of origin of the fibre must be indicated, as detailed in paragraphs b) i) and ii).
 - e) All other specimens shall be deemed to be specimens of species listed in Appendix I and the trade in them shall be regulated accordingly.
- (²) All species are listed in Appendix II to the Convention except *Balaena mysticetus*, *Eubalaena* spp., *Balaenoptera acutorostrata* (except population of West Greenland), *Balaenoptera bonaerensis*, *Balaenoptera borealis*, *Balaenoptera edeni*, *Balaenoptera musculus*, *Balaenoptera omurai*, *Balaenoptera physalus*, *Megaptera novaeangliae*, *Orcaella brevirostris*, *Orcaella heinsohni*, *Sotalia* spp., *Sousa* spp., *Eschrichtius robustus*, *Lipotes vexillifer*, *Caperea marginata*, *Neophocaena asiaorientalis*, *Neophocaena phocaenoides*, *Phocaena sinus*, *Physeter macrocephalus*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

(3) Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: (a) trade in hunting trophies for non-commercial purposes; (b) trade in live animals to appropriate and acceptable destinations as defined in Resolution Conf. 11.20 (Rev. CoP18) for Botswana and Zimbabwe and for *in situ* conservation programmes for Namibia and South Africa; (c) trade in hides; (d) trade in hair; (e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; (f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; (g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP18) concerning domestic manufacturing and trade; (iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; (iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12 which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa); (v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph (g)(iv) above in a single sale per destination under strict supervision of the Secretariat; (vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and (vii) the additional quantities specified in paragraph (g)(v) above shall be traded only after the Standing Committee has agreed that the above conditions have been met; (h) no further proposals to allow trade in elephant ivory from populations already in Annex B shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs (g)(i), (g)(ii), (g)(iii), (g)(vi), and (g)(vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78 (Rev. CoP15). On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(4) The following are not subject to the provisions of this Regulation:

Fossils;

Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter, not identifiable to the level of genus, and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;

Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction, not identifiable to the level of genus.

(5) Trade of specimens with source code A is allowed only if specimens traded possess cataphylls.

(6) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

Hatiora x graeseri

Schlumbergera x buckleyi

Schlumbergera russelliana x Schlumbergera truncata

Schlumbergera orssichiana x Schlumbergera truncata

Schlumbergera opuntioides x Schlumbergera truncata

Schlumbergera truncata (cultivars)

Cactaceae spp. colour mutants grafted on the following grafting stocks: *Harrisia 'Jusbertii'*, *Hylocereus trigonus* or *Hylocereus undatus*

Opuntia microdasys (cultivars)

(7) Artificially propagated hybrids of *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Vanda* are not subject to the provisions of this Regulation, when specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and

(a) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or

(b) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

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(⁸) Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 166, 19.6.2006, p. 1).

(⁹) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

(¹⁰) Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text ‘artificially propagated’, are not subject to the provisions of this Regulation.

	Annex D	Common name
FAUNA		
CHORDATA (CHORDATES)		
MAMMALIA		Mammals
CARNIVORA		
Canidae	<i>Vulpes vulpes griffithi</i> (III India) §1	Dogs, foxes, wolves
	<i>Vulpes vulpes montana</i> (III India) §1	Red fox
	<i>Vulpes vulpes pusilla</i> (III India) §1	Red fox
Mustelidae	<i>Mustela altaica</i> (III India) §1	Badgers, martens, weasels etc.
	<i>Mustela erminea ferghanae</i> (III India) §1	Mountain weasel
	<i>Mustela kathiah</i> (III India) §1	Stoat
	<i>Mustela sibirica</i> (III India) §1	Yellow-bellied weasel
		Siberian weasel

	Annex D	Common name
AVES		Birds
ANSERIFORMES		
Anatidae	<i>Anas melleri</i>	Ducks, geese, swans Meller's duck
REPTILIA		Reptiles
SAURIA		
Agamidae	<i>Otocryptis wiegmanni</i> <i>Physignathus cocincinus</i>	Wiegmann's Agama Chinese water dragon
Cordylidae	<i>Platysaurus imperator</i>	Spiny-tail lizards Emperor flat lizard
Gekkonidae	<i>Rhacodactylus auriculatus</i> <i>Rhacodactylus ciliatus</i> <i>Rhacodactylus leachianus</i> <i>Teratoscincus scincus</i> (Includes <i>Teratoscincus scincus rustamowi</i> , <i>T. s. keyserlingii</i> and <i>T. s. scincus</i>)	Geckos New Caledonia bumpy gecko Guichenot's giant gecko New Caledonia giant gecko Common wonder gecko
Gerrhosauridae	<i>Tracheloptychus petersi</i> <i>Zonosaurus karsteni</i>	Plated lizards Malagasy plated lizard Karsten's girdled lizard

	Annex D	Common name
	<i>Zonosaurus maximus</i>	Southeastern girdled lizard
	<i>Zonosaurus quadrilineatus</i>	Four-lined girdled lizard
Scincidae	<i>Tribolonotus gracilis</i>	Skinks Crocodile skink
	<i>Tribolonotus novaeguineae</i>	New Guinea helmet skink
SERPENTES		
Colubridae		Typical snakes, water snakes, whip snakes
	<i>Elaphe carinata</i> §1	Taiwan stink snake
	<i>Elaphe radiata</i> §1	Radiated rat snake
	<i>Elaphe taeniura</i> §1	Taiwan beauty snake
	<i>Enhydris bocourti</i> §1	Bocourt's water snake
	<i>Homalopsis</i> spp. §1	Masked water snake
	<i>Langaha nasuta</i>	Northern leafnose snake
	<i>Leioheterodon madagascariensis</i>	Madagascar menarana snake
	<i>Ptyas korros</i> §1	Indochinese rat snake
Hydrophiidae		Sea snakes
	<i>Lapemis curtus</i> (Includes <i>Lapemis hardwickii</i>) §1	Shaw's sea snake

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	Annex D	Common name
Viperidae		Vipers
	<i>Pseudocerastes</i> spp., except for the species, which is listed in Annex B	False horned vipers
AMPHIBIA		
ANURA		Frogs and toads
Bufonidae		
	<i>Atelopus</i> spp., except for the species listed in Annex A	Harlequin toads
Dicroglossidae		Frogs
	<i>Limnonectes macrodon</i>	Fanged River Frog or Javan Giant Frog
Hylidae		Tree frogs
	<i>Phyllomedusa sauvagii</i>	Waxy monkey tree frog
Leptodactylidae		Neotropical frogs
	<i>Leptodactylus laticeps</i>	Red spotted burrow frog
Ranidae		Frogs
	<i>Pelophylax shqipericus</i>	Albanian pool frog
CAUDATA		
Hynobiidae		Asiatic salamanders
	<i>Ranodon sibiricus</i>	Semirechensk salamander / Central Asian salamander / Siberian salamander
Plethodontidae		Lungless salamanders
	<i>Bolitoglossa dofleini</i>	Giant palm salamander

	Annex D	Common name
Salamandridae	<i>Cynops ensicauda</i> <i>Echinotriton andersoni</i> <i>Laotriton laoensis</i>	Newts and salamanders Sword-tailed newt Anderson’s salamander Paddletail newt
ACTINOPTERYGII		Fish
PERCIFORMES		
Apogonidae	<i>Pterapogon kauderni</i>	Banggai cardinalfish
MOLLUSCA (MOLLUSCS)		
GASTROPODA		
Haliotidae	<i>Haliotis midae</i>	Midas ear abalone
FLORA		
AGAVACEAE	<i>Dasyllirion longissimum</i>	Agaves Beargrass
ARACEAE	<i>Arisaema dracontium</i>	Arums Green dragon

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	Annex D	Common name
	<i>Arisaema erubescens</i> <i>Arisaema galeatum</i> <i>Arisaema nepenthoides</i> <i>Arisaema sikokianum</i> <i>Arisaema thunbergii</i> var. <i>urashima</i> <i>Arisaema tortuosum</i>	
BIGNONIACEAE	<i>Handroanthus</i> spp. §5 <i>Tabebuia</i> spp. §5 <i>Roseodendron</i> spp. §5	Trumpet trees
BURSERACEAE	<i>Aucoumea klaineana</i> §5 <i>Boswellia</i> spp. §4	Burseras Gabon mahogany
COMPOSITAE (ASTERACEAE)	<i>Arnica montana</i> §2 <i>Othonna clavifolia</i> <i>Othonna herrei</i> <i>Othonna retrorsa</i>	Asters, daisies, costus Mountain tobacco

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	Annex D	Common name
CRASSULACEAE	<i>Rhodiola</i> spp. §4	Stonecrops, orpines Roseroots, rhodiolas, golden root
ERICACEAE	<i>Arctostaphylos uva-ursi</i> §2	Heathers, rhododendrons Bearberry
GENTIANACEAE	<i>Gentiana lutea</i> §2	Gentians Great yellow gentian
LEGUMINOSAE	<i>Millettia stuhlmannii</i> §5 <i>Pterocarpus macrocarpus</i> §4	Legumes Panga-panga Burma padauk
LILIACEAE	<i>Trillium pusillum</i> <i>Trillium rugelii</i> <i>Trillium sessile</i>	Wakerobins Dwarf wakerobin Ill-scented wakerobin Sessile-flowered wakerobin wood-lily
LYCOPODIACEAE	<i>Lycopodium clavatum</i> §2	Clubmosses Stagshorn clubmoss
MELIACEAE	<i>Entandrophragma cylindricum</i> §5 <i>Khaya</i> spp. §5	Mahoganies, cedars Sapele mahogany African mahogany
MENYANTHACEAE	<i>Menyanthes trifoliata</i> §2	Bogbeans Bogbean

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	Annex D	Common name
PARMELIACEAE	<i>Cetraria islandica</i> §2	Parmelioid lichens Icelandic moss
PASSIFLORACEAE	<i>Adenia glauca</i> <i>Adenia pechuelli</i>	Desert roses Desert rose Desert rose
PEDALIACEAE	<i>Harpagophytum</i> spp. §2	Sesame, devil's claw Devil's claw
SANTALACEAE	<i>Okoubaka aubrevillei</i> §2	Sandalwoods Death tree
SAPOTACEAE	<i>Baillonella toxisperma</i> §5	Sapodillas Moabi
SELAGINELLACEAE	<i>Selaginella lepidophylla</i>	Clubmosses, spikemosses Rose of Jericho